

VOTE SUMMARY REPORT

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): STATE STREET GLOBAL ADVISORS

INSTITUTION ACCOUNT(S): SPDR S&P CAPITAL
MARKETS ETF

WisdomTree Investments, Inc.

Meeting Date: 07/15/2022 **Country:** USA **Ticker:** WETF
Record Date: 05/26/2022 **Meeting Type:** Annual
Primary Security ID: 97717P104 **Primary CUSIP:** 97717P104 **Primary ISIN:** US97717P1049 **Primary SEDOL:** 2476513

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1A	Elect Director Lynn S. Blake	Mgmt	For	For
1B	Elect Director Susan Cosgrove	Mgmt	For	For
1C	Elect Director Win Neuger	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Increase Authorized Common Stock	Mgmt	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
5	Approve Omnibus Stock Plan	Mgmt	For	For
6	Declassify the Board of Directors	Mgmt	For	For

Hamilton Lane Incorporated

Meeting Date: 09/01/2022 **Country:** USA **Ticker:** HLNE
Record Date: 07/06/2022 **Meeting Type:** Annual
Primary Security ID: 407497106 **Primary CUSIP:** 407497106 **Primary ISIN:** US4074971064 **Primary SEDOL:** BF0SR29

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Hartley R. Rogers	Mgmt	For	Withhold
1.2	Elect Director Mario L. Giannini	Mgmt	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	For
4	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For

StepStone Group, Inc.

Meeting Date: 09/14/2022 **Country:** USA **Ticker:** STEP
Record Date: 07/19/2022 **Meeting Type:** Annual
Primary Security ID: 85914M107 **Primary CUSIP:** 85914M107 **Primary ISIN:** US85914M1071 **Primary SEDOL:** BLFDXF6

StepStone Group, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Jose A. Fernandez	Mgmt	For	Withhold
1.2	Elect Director Thomas Keck	Mgmt	For	Withhold
1.3	Elect Director Michael I. McCabe	Mgmt	For	Withhold
1.4	Elect Director Steven R. Mitchell	Mgmt	For	Withhold
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year

Houlihan Lokey, Inc.

Meeting Date: 09/21/2022	Country: USA	Ticker: HLI	
Record Date: 07/26/2022	Meeting Type: Annual		
Primary Security ID: 441593100	Primary CUSIP: 441593100	Primary ISIN: US4415931009	Primary SEDOL: BYQ3PM7

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Scott L. Beiser	Mgmt	For	Withhold
1.2	Elect Director Todd J. Carter	Mgmt	For	Withhold
1.3	Elect Director Jacqueline B. Kosecoff	Mgmt	For	Withhold
1.4	Elect Director Paul A. Zuber	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For

Cowen Inc.

Meeting Date: 11/15/2022	Country: USA	Ticker: COWN	
Record Date: 09/29/2022	Meeting Type: Special		
Primary Security ID: 223622606	Primary CUSIP: 223622606	Primary ISIN: US2236226062	Primary SEDOL: BZ1J497

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against
3	Adjourn Meeting	Mgmt	For	Against

P10, Inc.

Meeting Date: 12/09/2022 **Country:** USA **Ticker:** PX
Record Date: 11/10/2022 **Meeting Type:** Special
Primary Security ID: 69376K106 **Primary CUSIP:** 69376K106 **Primary ISIN:** US69376K1060 **Primary SEDOL:** BLB56T1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Omnibus Stock Plan	Mgmt	For	For

FactSet Research Systems Inc.

Meeting Date: 12/15/2022 **Country:** USA **Ticker:** FDS
Record Date: 10/21/2022 **Meeting Type:** Annual
Primary Security ID: 303075105 **Primary CUSIP:** 303075105 **Primary ISIN:** US3030751057 **Primary SEDOL:** 2329770

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director James J. McGonigle	Mgmt	For	For
1b	Elect Director F. Philip Snow	Mgmt	For	For
1c	Elect Director Maria Teresa Tejada	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Declassify the Board of Directors	Mgmt	For	For
5	Amend Certificate of Incorporation to Eliminate Certain Business Combination Restrictions	Mgmt	For	For
6	Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes	Mgmt	For	For
7	Amend Certificate of Incorporation to Add Federal Forum Selection Provision	Mgmt	For	For
8	Amend Certificate of Incorporation to Remove Creditor Compromise Provision	Mgmt	For	For
9	Amend Certificate of Incorporation to Clarify, Streamline and Modernize the Certificate of Incorporation	Mgmt	For	For

BGC Partners, Inc.

Meeting Date: 12/30/2022 **Country:** USA **Ticker:** BGCP
Record Date: 11/07/2022 **Meeting Type:** Annual
Primary Security ID: 05541T101 **Primary CUSIP:** 05541T101 **Primary ISIN:** US05541T1016 **Primary SEDOL:** 2528168

BGC Partners, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Howard W. Lutnick	Mgmt	For	For
1.2	Elect Director David P. Richards	Mgmt	For	For
1.3	Elect Director Arthur U. Mbanefo	Mgmt	For	For
1.4	Elect Director Linda A. Bell	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against

Franklin Resources, Inc.

Meeting Date: 02/07/2023	Country: USA	Ticker: BEN	
Record Date: 12/09/2022	Meeting Type: Annual		
Primary Security ID: 354613101	Primary CUSIP: 354613101	Primary ISIN: US3546131018	Primary SEDOL: 2350684

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Mariann Byerwalter	Mgmt	For	For
1b	Elect Director Alexander S. Friedman	Mgmt	For	For
1c	Elect Director Gregory E. Johnson	Mgmt	For	For
1d	Elect Director Jennifer M. Johnson	Mgmt	For	For
1e	Elect Director Rupert H. Johnson, Jr.	Mgmt	For	For
1f	Elect Director John Y. Kim	Mgmt	For	For
1g	Elect Director Karen M. King	Mgmt	For	For
1h	Elect Director Anthony J. Noto	Mgmt	For	For
1i	Elect Director John W. Thiel	Mgmt	For	For
1j	Elect Director Seth H. Waugh	Mgmt	For	For
1k	Elect Director Geoffrey Y. Yang	Mgmt	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	Three Years	One Year

Raymond James Financial, Inc.

Meeting Date: 02/23/2023

Country: USA

Ticker: RJF

Record Date: 12/21/2022

Meeting Type: Annual

Primary Security ID: 754730109

Primary CUSIP: 754730109

Primary ISIN: US7547301090

Primary SEDOL: 2718992

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Marlene Debel	Mgmt	For	For
1b	Elect Director Robert M. Dutkowsky	Mgmt	For	For
1c	Elect Director Jeffrey N. Edwards	Mgmt	For	For
1d	Elect Director Benjamin C. Esty	Mgmt	For	For
1e	Elect Director Anne Gates	Mgmt	For	For
1f	Elect Director Thomas A. James	Mgmt	For	For
1g	Elect Director Gordon L. Johnson	Mgmt	For	Against
1h	Elect Director Roderick C. McGeary	Mgmt	For	For
1i	Elect Director Paul C. Reilly	Mgmt	For	For
1j	Elect Director Raj Seshadri	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Amend Omnibus Stock Plan	Mgmt	For	For
5	Ratify KPMG LLP as Auditors	Mgmt	For	For

StoneX Group Inc.

Meeting Date: 03/01/2023

Country: USA

Ticker: SNEX

Record Date: 01/10/2023

Meeting Type: Annual

Primary Security ID: 861896108

Primary CUSIP: 861896108

Primary ISIN: US8618961085

Primary SEDOL: BMQ8XV3

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Annabelle G. Bexiga	Mgmt	For	For
1.2	Elect Director Scott J. Branch	Mgmt	For	For
1.3	Elect Director Diane L. Cooper	Mgmt	For	For
1.4	Elect Director John M. Fowler	Mgmt	For	For
1.5	Elect Director Steven Kass	Mgmt	For	For
1.6	Elect Director Sean M. O'Connor	Mgmt	For	For
1.7	Elect Director Eric Parthemore	Mgmt	For	For
1.8	Elect Director John Radziwill	Mgmt	For	For

StoneX Group Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.9	Elect Director Dhamu R. Thamodaran	Mgmt	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

Jefferies Financial Group Inc.

Meeting Date: 03/29/2023	Country: USA	Ticker: JEF	
Record Date: 01/30/2023	Meeting Type: Annual		
Primary Security ID: 47233W109	Primary CUSIP: 47233W109	Primary ISIN: US47233W1099	Primary SEDOL: BG0Q4Z2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Linda L. Adamany	Mgmt	For	For
1b	Elect Director Barry J. Alperin	Mgmt	For	For
1c	Elect Director Robert D. Beyer	Mgmt	For	For
1d	Elect Director Matrice Ellis Kirk	Mgmt	For	For
1e	Elect Director Brian P. Friedman	Mgmt	For	For
1f	Elect Director MaryAnne Gilmartin	Mgmt	For	For
1g	Elect Director Richard B. Handler	Mgmt	For	For
1h	Elect Director Thomas W. Jones	Mgmt	For	For
1i	Elect Director Jacob M. Katz	Mgmt	For	For
1j	Elect Director Michael T. O'Kane	Mgmt	For	For
1k	Elect Director Joseph S. Steinberg	Mgmt	For	For
1l	Elect Director Melissa V. Weiler	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

The Bank of New York Mellon Corporation

Meeting Date: 04/12/2023	Country: USA	Ticker: BK	
Record Date: 02/16/2023	Meeting Type: Annual		
Primary Security ID: 064058100	Primary CUSIP: 064058100	Primary ISIN: US0640581007	Primary SEDOL: B1Z77F6

The Bank of New York Mellon Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Linda Z. Cook	Mgmt	For	For
1b	Elect Director Joseph J. Echevarria	Mgmt	For	For
1c	Elect Director M. Amy Gilliland	Mgmt	For	For
1d	Elect Director Jeffrey A. Goldstein	Mgmt	For	For
1e	Elect Director K. Guru Gowrappan	Mgmt	For	For
1f	Elect Director Ralph Izzo	Mgmt	For	For
1g	Elect Director Sandra E. "Sandie" O'Connor	Mgmt	For	For
1h	Elect Director Elizabeth E. Robinson	Mgmt	For	For
1i	Elect Director Frederick O. Terrell	Mgmt	For	For
1j	Elect Director Robin A. Vince	Mgmt	For	For
1k	Elect Director Alfred W. "Al" Zollar	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify KPMG LLP as Auditors	Mgmt	For	For
5	Approve Omnibus Stock Plan	Mgmt	For	For
6	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Against	Against

Moody's Corporation

Meeting Date: 04/18/2023	Country: USA	Ticker: MCO	
Record Date: 02/21/2023	Meeting Type: Annual		
Primary Security ID: 615369105	Primary CUSIP: 615369105	Primary ISIN: US6153691059	Primary SEDOL: 2252058

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Jorge A. Bermudez	Mgmt	For	For
1b	Elect Director Therese Esperdy	Mgmt	For	For
1c	Elect Director Robert Fauber	Mgmt	For	For
1d	Elect Director Vincent A. Forlenza	Mgmt	For	For
1e	Elect Director Kathryn M. Hill	Mgmt	For	For
1f	Elect Director Lloyd W. Howell, Jr.	Mgmt	For	For
1g	Elect Director Jose M. Minaya	Mgmt	For	For

Moody's Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1h	Elect Director Leslie F. Seidman	Mgmt	For	For
1i	Elect Director Zig Serafin	Mgmt	For	For
1j	Elect Director Bruce Van Saun	Mgmt	For	For
2	Amend Omnibus Stock Plan	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
5	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year

Interactive Brokers Group, Inc.

Meeting Date: 04/20/2023	Country: USA	Ticker: IBKR	
Record Date: 02/24/2023	Meeting Type: Annual		
Primary Security ID: 45841N107	Primary CUSIP: 45841N107	Primary ISIN: US45841N1072	Primary SEDOL: B1WT4X2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Thomas Peterffy	Mgmt	For	Against
1b	Elect Director Earl H. Nemser	Mgmt	For	Against
1c	Elect Director Milan Galik	Mgmt	For	Against
1d	Elect Director Paul J. Brody	Mgmt	For	Against
1e	Elect Director Lawrence E. Harris	Mgmt	For	For
1f	Elect Director Philip Uhde	Mgmt	For	For
1g	Elect Director William Peterffy	Mgmt	For	Against
1h	Elect Director Nicole Yuen	Mgmt	For	Against
1i	Elect Director Jill Bright	Mgmt	For	Against
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	Two Years	One Year
5	Amend Omnibus Stock Plan	Mgmt	For	Against

MSCI Inc.

Meeting Date: 04/25/2023	Country: USA	Ticker: MSCI	
Record Date: 03/01/2023	Meeting Type: Annual		
Primary Security ID: 55354G100	Primary CUSIP: 55354G100	Primary ISIN: US55354G1004	Primary SEDOL: B2972D2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Henry A. Fernandez	Mgmt	For	For
1b	Elect Director Robert G. Ashe	Mgmt	For	For
1c	Elect Director Wayne Edmunds	Mgmt	For	For
1d	Elect Director Catherine R. Kinney	Mgmt	For	For
1e	Elect Director Robin L. Matlock	Mgmt	For	For
1f	Elect Director Jacques P. Perold	Mgmt	For	For
1g	Elect Director C.D. Baer Pettit	Mgmt	For	For
1h	Elect Director Sandy C. Rattray	Mgmt	For	For
1i	Elect Director Linda H. Riefler	Mgmt	For	For
1j	Elect Director Marcus L. Smith	Mgmt	For	For
1k	Elect Director Rajat Taneja	Mgmt	For	For
1l	Elect Director Paula Volent	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For

Northern Trust Corporation

Meeting Date: 04/25/2023	Country: USA	Ticker: NTRS
Record Date: 02/27/2023	Meeting Type: Annual	
Primary Security ID: 665859104	Primary CUSIP: 665859104	Primary ISIN: US6658591044
		Primary SEDOL: 2648668

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Linda Walker Bynoe	Mgmt	For	For
1b	Elect Director Susan Crown	Mgmt	For	For
1c	Elect Director Dean M. Harrison	Mgmt	For	For
1d	Elect Director Jay L. Henderson	Mgmt	For	For
1e	Elect Director Marcy S. Klevorn	Mgmt	For	For
1f	Elect Director Siddharth N. (Bobby) Mehta	Mgmt	For	For
1g	Elect Director Michael G. O'Grady	Mgmt	For	For
1h	Elect Director Jose Luis Prado	Mgmt	For	For

Northern Trust Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1i	Elect Director Martin P. Slark	Mgmt	For	For
1j	Elect Director David H. B. Smith, Jr.	Mgmt	For	For
1k	Elect Director Donald Thompson	Mgmt	For	For
1l	Elect Director Charles A. Tribbett, III	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify KPMG LLP as Auditors	Mgmt	For	For

Ameriprise Financial, Inc.

Meeting Date: 04/26/2023	Country: USA	Ticker: AMP	
Record Date: 02/27/2023	Meeting Type: Annual		
Primary Security ID: 03076C106	Primary CUSIP: 03076C106	Primary ISIN: US03076C1062	Primary SEDOL: B0J7D57

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director James M. Cracchiolo	Mgmt	For	For
1b	Elect Director Robert F. Sharpe, Jr.	Mgmt	For	For
1c	Elect Director Dianne Neal Blixt	Mgmt	For	For
1d	Elect Director Amy DiGeso	Mgmt	For	For
1e	Elect Director Christopher J. Williams	Mgmt	For	For
1f	Elect Director Armando Pimentel, Jr.	Mgmt	For	For
1g	Elect Director Brian T. Shea	Mgmt	For	For
1h	Elect Director W. Edward Walter, III	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Amend Omnibus Stock Plan	Mgmt	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For

The Goldman Sachs Group, Inc.

Meeting Date: 04/26/2023	Country: USA	Ticker: GS	
Record Date: 02/27/2023	Meeting Type: Annual		
Primary Security ID: 38141G104	Primary CUSIP: 38141G104	Primary ISIN: US38141G1040	Primary SEDOL: 2407966

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Michele Burns	Mgmt	For	For
1b	Elect Director Mark Flaherty	Mgmt	For	For
1c	Elect Director Kimberley Harris	Mgmt	For	For
1d	Elect Director Kevin Johnson	Mgmt	For	For
1e	Elect Director Ellen Kullman	Mgmt	For	For
1f	Elect Director Lakshmi Mittal	Mgmt	For	Against
1g	Elect Director Adebayo Ogunesi	Mgmt	For	For
1h	Elect Director Peter Oppenheimer	Mgmt	For	For
1i	Elect Director David Solomon	Mgmt	For	For
1j	Elect Director Jan Tighe	Mgmt	For	For
1k	Elect Director Jessica Uhl	Mgmt	For	For
1l	Elect Director David Viniar	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
5	Report on Lobbying Payments and Policy	SH	Against	Against
6	Require Independent Board Chair	SH	Against	Against
7	Publish Third-Party Review on Chinese Congruency of Certain ETFs	SH	Against	Against
8	Oversee and Report a Racial Equity Audit	SH	Against	Against
9	Adopt Time-Bound Policy to Phase Out Underwriting and Lending for New Fossil Fuel Development	SH	Against	Against
10	Disclose 2030 Absolute GHG Reduction Targets Associated with Lending and Underwriting	SH	Against	Against
11	Report on Climate Transition Plan Describing Efforts to Align Financing Activities with GHG Targets	SH	Against	For
12	Report on Median Gender/Racial Pay Gap	SH	Against	Abstain

Lazard Ltd

Meeting Date: 04/27/2023

Country: Bermuda

Ticker: LAZ

Record Date: 03/21/2023

Meeting Type: Annual

Primary Security ID: G54050102

Primary CUSIP: G54050102

Primary ISIN: BMG540501027

Primary SEDOL: B081VQ7

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Kenneth M. Jacobs	Mgmt	For	For
1.2	Elect Director Michelle Jarrard	Mgmt	For	For
1.3	Elect Director Iris Knobloch	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

Janus Henderson Group Plc

Meeting Date: 05/03/2023

Country: Jersey

Ticker: JHG

Record Date: 03/06/2023

Meeting Type: Annual

Primary Security ID: G4474Y214

Primary CUSIP: G4474Y214

Primary ISIN: JE00BYPZJM29

Primary SEDOL: BYPZJQ6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Brian Baldwin	Mgmt	For	For
1.2	Elect Director John Cassaday	Mgmt	For	For
1.3	Elect Director Alison Davis *Withdrawn Resolution*	Mgmt		
1.4	Elect Director Kalpana Desai	Mgmt	For	For
1.5	Elect Director Ali Dibadj	Mgmt	For	For
1.6	Elect Director Kevin Dolan	Mgmt	For	For
1.7	Elect Director Eugene Flood, Jr.	Mgmt	For	For
1.8	Elect Director Ed Garden	Mgmt	For	For
1.9	Elect Director Alison Quirk	Mgmt	For	For
1.10	Elect Director Angela Seymour-Jackson	Mgmt	For	Against
1.11	Elect Director Anne Sheehan	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
4	Authorise Market Purchase of CDIs	Mgmt	For	For
5	Approve PricewaterhouseCoopers LLP as Auditors and Authorise Audit Committee to Fix Their Remuneration	Mgmt	For	For

S&P Global Inc.

Meeting Date: 05/03/2023

Country: USA

Ticker: SPGI

Record Date: 03/13/2023

Meeting Type: Annual

Primary Security ID: 78409V104

Primary CUSIP: 78409V104

Primary ISIN: US78409V1044

Primary SEDOL: BYV2325

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Marco Alvera	Mgmt	For	For
1.2	Elect Director Jacques Esculier	Mgmt	For	For
1.3	Elect Director Gay Huey Evans	Mgmt	For	For
1.4	Elect Director William D. Green	Mgmt	For	For
1.5	Elect Director Stephanie C. Hill	Mgmt	For	For
1.6	Elect Director Rebecca Jacoby	Mgmt	For	For
1.7	Elect Director Robert P. Kelly	Mgmt	For	For
1.8	Elect Director Ian Paul Livingston	Mgmt	For	For
1.9	Elect Director Deborah D. McWhinney	Mgmt	For	For
1.10	Elect Director Maria R. Morris	Mgmt	For	For
1.11	Elect Director Douglas L. Peterson	Mgmt	For	For
1.12	Elect Director Richard E. Thornburgh	Mgmt	For	For
1.13	Elect Director Gregory Washington	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For

Avantax, Inc.

Meeting Date: 05/04/2023

Country: USA

Ticker: AVTA

Record Date: 03/10/2023

Meeting Type: Annual

Primary Security ID: 095229100

Primary CUSIP: 095229100

Primary ISIN: US0952291005

Primary SEDOL: B8L2DV2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Georganne C. Proctor	Mgmt	For	For
1.2	Elect Director Mark A. Ernst	Mgmt	For	For
1.3	Elect Director E. Carol Hayles	Mgmt	For	For
1.4	Elect Director Kanayalal A. Kotecha	Mgmt	For	For
1.5	Elect Director J. Richard Leaman, III	Mgmt	For	For
1.6	Elect Director Tina Perry	Mgmt	For	For

Avantax, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.7	Elect Director Karthik Rao	Mgmt	For	For
1.8	Elect Director Jana R. Schreuder	Mgmt	For	For
1.9	Elect Director Christopher W. Walters	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
5	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For
6	Amend Charter to Permit the Exculpation of Officers Consistent with Changes to Delaware General Corporation Law	Mgmt	For	For

Bridge Investment Group Holdings Inc.

Meeting Date: 05/04/2023	Country: USA	Ticker: BRDG	
Record Date: 03/10/2023	Meeting Type: Annual		
Primary Security ID: 10806B100	Primary CUSIP: 10806B100	Primary ISIN: US10806B1008	Primary SEDOL: BL53YS6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Jonathan Slager	Mgmt	For	For
1.2	Elect Director Deborah Hopkins	Mgmt	For	Withhold
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

CME Group Inc.

Meeting Date: 05/04/2023	Country: USA	Ticker: CME	
Record Date: 03/06/2023	Meeting Type: Annual		
Primary Security ID: 12572Q105	Primary CUSIP: 12572Q105	Primary ISIN: US12572Q1058	Primary SEDOL: 2965839

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Terrence A. Duffy	Mgmt	For	For
1b	Elect Director Kathryn Benesh	Mgmt	For	For
1c	Elect Director Timothy S. Bitsberger	Mgmt	For	For
1d	Elect Director Charles P. Carey	Mgmt	For	For
1e	Elect Director Bryan T. Durkin	Mgmt	For	For

CME Group Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1f	Elect Director Harold Ford, Jr.	Mgmt	For	For
1g	Elect Director Martin J. Gepsman	Mgmt	For	For
1h	Elect Director Larry G. Gerdes	Mgmt	For	Against
1i	Elect Director Daniel R. Glickman	Mgmt	For	For
1j	Elect Director Daniel G. Kaye	Mgmt	For	For
1k	Elect Director Phyllis M. Lockett	Mgmt	For	For
1l	Elect Director Deborah J. Lucas	Mgmt	For	For
1m	Elect Director Terry L. Savage	Mgmt	For	For
1n	Elect Director Rahael Seifu	Mgmt	For	For
1o	Elect Director William R. Shepard	Mgmt	For	For
1p	Elect Director Howard J. Siegel	Mgmt	For	For
1q	Elect Director Dennis A. Suskind	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year

Cohen & Steers, Inc.

Meeting Date: 05/04/2023

Country: USA

Ticker: CNS

Record Date: 03/09/2023

Meeting Type: Annual

Primary Security ID: 19247A100

Primary CUSIP: 19247A100

Primary ISIN: US19247A1007

Primary SEDOL: B02H882

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Martin Cohen	Mgmt	For	Against
1b	Elect Director Robert H. Steers	Mgmt	For	Against
1c	Elect Director Joseph M. Harvey	Mgmt	For	For
1d	Elect Director Reena Aggarwal	Mgmt	For	Against
1e	Elect Director Frank T. Connor	Mgmt	For	For
1f	Elect Director Peter L. Rhein	Mgmt	For	For
1g	Elect Director Richard P. Simon	Mgmt	For	For
1h	Elect Director Dasha Smith	Mgmt	For	For
1i	Elect Director Edmond D. Villani	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

Cohen & Steers, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year

T. Rowe Price Group, Inc.

Meeting Date: 05/09/2023	Country: USA	Ticker: TROW	
Record Date: 03/01/2023	Meeting Type: Annual		
Primary Security ID: 74144T108	Primary CUSIP: 74144T108	Primary ISIN: US74144T1088	Primary SEDOL: 2702337

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Glenn R. August	Mgmt	For	For
1b	Elect Director Mark S. Bartlett	Mgmt	For	Against
1c	Elect Director Dina Dublon	Mgmt	For	For
1d	Elect Director Freeman A. Hrabowski, III	Mgmt	For	For
1e	Elect Director Robert F. MacLellan	Mgmt	For	For
1f	Elect Director Eileen P. Rominger	Mgmt	For	For
1g	Elect Director Robert W. Sharps	Mgmt	For	For
1h	Elect Director Robert J. Stevens	Mgmt	For	For
1i	Elect Director William J. Stromberg	Mgmt	For	For
1j	Elect Director Sandra S. Wijnberg	Mgmt	For	Against
1k	Elect Director Alan D. Wilson	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Amend Nonqualified Employee Stock Purchase Plan	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
5	Ratify KPMG LLP as Auditors	Mgmt	For	For

Victory Capital Holdings, Inc.

Meeting Date: 05/09/2023	Country: USA	Ticker: VCTR	
Record Date: 03/10/2023	Meeting Type: Annual		
Primary Security ID: 92645B103	Primary CUSIP: 92645B103	Primary ISIN: US92645B1035	Primary SEDOL: BFYVKR0

Victory Capital Holdings, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Mary M. Jackson	Mgmt	For	For
1b	Elect Director Robert J. Hurst	Mgmt	For	For
1c	Elect Director Alan H. Rappaport	Mgmt	For	Against
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For

Cboe Global Markets, Inc.

Meeting Date: 05/11/2023	Country: USA	Ticker: CBOE	
Record Date: 03/16/2023	Meeting Type: Annual		
Primary Security ID: 12503M108	Primary CUSIP: 12503M108	Primary ISIN: US12503M1080	Primary SEDOL: B5834C5

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Edward T. Tilly	Mgmt	For	For
1b	Elect Director William M. Farrow, III	Mgmt	For	For
1c	Elect Director Edward J. Fitzpatrick	Mgmt	For	For
1d	Elect Director Ivan K. Fong	Mgmt	For	For
1e	Elect Director Janet P. Froetscher	Mgmt	For	For
1f	Elect Director Jill R. Goodman	Mgmt	For	For
1g	Elect Director Alexander J. Matturri, Jr.	Mgmt	For	For
1h	Elect Director Jennifer J. McPeck	Mgmt	For	For
1i	Elect Director Roderick A. Palmore	Mgmt	For	Against
1j	Elect Director James E. Parisi	Mgmt	For	For
1k	Elect Director Joseph P. Ratterman	Mgmt	For	For
1l	Elect Director Fredric J. Tomczyk	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify KPMG LLP as Auditors	Mgmt	For	For

Diamond Hill Investment Group, Inc.

Meeting Date: 05/11/2023	Country: USA	Ticker: DHIL	
Record Date: 03/13/2023	Meeting Type: Annual		
Primary Security ID: 25264R207	Primary CUSIP: 25264R207	Primary ISIN: US25264R2076	Primary SEDOL: 2801137

Diamond Hill Investment Group, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Heather E. Brilliant	Mgmt	For	For
1b	Elect Director Richard S. Cooley	Mgmt	For	For
1c	Elect Director James F. Laird	Mgmt	For	For
1d	Elect Director Paula R. Meyer	Mgmt	For	For
1e	Elect Director Nicole R. St. Pierre	Mgmt	For	For
1f	Elect Director L'Quentus Thomas	Mgmt	For	For
1g	Elect Director Mark Zinkula	Mgmt	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year

LPL Financial Holdings Inc.

Meeting Date: 05/11/2023

Country: USA

Ticker: LPLA

Record Date: 03/13/2023

Meeting Type: Annual

Primary Security ID: 50212V100

Primary CUSIP: 50212V100

Primary ISIN: US50212V1008

Primary SEDOL: B75JX34

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Dan H. Arnold	Mgmt	For	For
1b	Elect Director Edward C. Bernard	Mgmt	For	For
1c	Elect Director H. Paulett Eberhart	Mgmt	For	For
1d	Elect Director William F. Glavin, Jr.	Mgmt	For	For
1e	Elect Director Albert J. Ko	Mgmt	For	For
1f	Elect Director Allison H. Mnookin	Mgmt	For	For
1g	Elect Director Anne M. Mulcahy	Mgmt	For	For
1h	Elect Director James S. Putnam	Mgmt	For	For
1i	Elect Director Richard P. Schifter	Mgmt	For	For
1j	Elect Director Corey E. Thomas	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year

Morningstar, Inc.

Meeting Date: 05/12/2023

Country: USA

Ticker: MORN

Record Date: 03/13/2023

Meeting Type: Annual

Primary Security ID: 617700109

Primary CUSIP: 617700109

Primary ISIN: US6177001095

Primary SEDOL: B081VR8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Joe Mansueto	Mgmt	For	For
1b	Elect Director Kunal Kapoor	Mgmt	For	For
1c	Elect Director Robin Diamonte	Mgmt	For	For
1d	Elect Director Cheryl Francis	Mgmt	For	Against
1e	Elect Director Steve Joynt	Mgmt	For	For
1f	Elect Director Steve Kaplan	Mgmt	For	For
1g	Elect Director Gail Landis	Mgmt	For	For
1h	Elect Director Bill Lyons	Mgmt	For	For
1i	Elect Director Doniel Sutton	Mgmt	For	For
1j	Elect Director Caroline Tsay	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify KPMG LLP as Auditors	Mgmt	For	For

Tradeweb Markets Inc.

Meeting Date: 05/15/2023

Country: USA

Ticker: TW

Record Date: 03/17/2023

Meeting Type: Annual

Primary Security ID: 892672106

Primary CUSIP: 892672106

Primary ISIN: US8926721064

Primary SEDOL: BJXMVK2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Troy Dixon	Mgmt	For	For
1.2	Elect Director Scott Ganeles	Mgmt	For	Withhold
1.3	Elect Director Catherine Johnson	Mgmt	For	Withhold
1.4	Elect Director Murray Roos	Mgmt	For	Withhold
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

Donnelley Financial Solutions, Inc.

Meeting Date: 05/17/2023

Country: USA

Ticker: DFIN

Record Date: 03/20/2023

Meeting Type: Annual

Primary Security ID: 25787G100

Primary CUSIP: 25787G100

Primary ISIN: US25787G1004

Primary SEDOL: BYND5T7

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Luis A. Aguilar	Mgmt	For	Against
1.2	Elect Director Richard L. Crandall	Mgmt	For	For
1.3	Elect Director Charles D. Drucker	Mgmt	For	For
1.4	Elect Director Juliet S. Ellis	Mgmt	For	For
1.5	Elect Director Gary G. Greenfield	Mgmt	For	For
1.6	Elect Director Jeffrey Jacobowitz	Mgmt	For	For
1.7	Elect Director Daniel N. Leib	Mgmt	For	For
1.8	Elect Director Lois M. Martin	Mgmt	For	For
1.9	Elect Director Chandar Pattabhiram	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
5	Approve Qualified Employee Stock Purchase Plan	Mgmt	For	For
6	Amend Certificate of Incorporation to Reflect New Delaware Law Provisions Regarding Officer Exculpation	Mgmt	For	For

Piper Sandler Companies

Meeting Date: 05/17/2023

Country: USA

Ticker: PIPR

Record Date: 03/20/2023

Meeting Type: Annual

Primary Security ID: 724078100

Primary CUSIP: 724078100

Primary ISIN: US7240781002

Primary SEDOL: 2227089

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Chad R. Abraham	Mgmt	For	For
1b	Elect Director Jonathan J. Doyle	Mgmt	For	For
1c	Elect Director William R. Fitzgerald	Mgmt	For	For
1d	Elect Director Victoria M. Holt	Mgmt	For	For
1e	Elect Director Robbin Mitchell	Mgmt	For	For
1f	Elect Director Thomas S. Schreier	Mgmt	For	For

Piper Sandler Companies

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1g	Elect Director Sherry M. Smith	Mgmt	For	For
1h	Elect Director Philip E. Soran	Mgmt	For	For
1i	Elect Director Brian R. Sterling	Mgmt	For	For
1j	Elect Director Scott C. Taylor	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
5	Amend Omnibus Stock Plan	Mgmt	For	Against
6	Amend Certificate of Incorporation to Reflect New Delaware Law Provisions Regarding Officer Exculpation	Mgmt	For	For

State Street Corporation

Meeting Date: 05/17/2023

Country: USA

Ticker: STT

Record Date: 03/21/2023

Meeting Type: Annual

Primary Security ID: 857477103

Primary CUSIP: 857477103

Primary ISIN: US8574771031

Primary SEDOL: 2842040

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Patrick de Saint-Aignan	Mgmt	For	For
1b	Elect Director Marie A. Chandoha	Mgmt	For	For
1c	Elect Director DonnaLee DeMaio	Mgmt	For	For
1d	Elect Director Amelia C. Fawcett	Mgmt	For	For
1e	Elect Director William C. Freda	Mgmt	For	For
1f	Elect Director Sara Mathew	Mgmt	For	For
1g	Elect Director William L. Meaney	Mgmt	For	For
1h	Elect Director Ronald P. O'Hanley	Mgmt	For	For
1i	Elect Director Sean O'Sullivan	Mgmt	For	For
1j	Elect Director Julio A. Portalatin	Mgmt	For	For
1k	Elect Director John B. Rhea	Mgmt	For	For
1l	Elect Director Gregory L. Summe	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Amend Omnibus Stock Plan	Mgmt	For	For

State Street Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
6	Report on Asset Management Policies and Diversified Investors	SH	Against	Against

Virtus Investment Partners, Inc.

Meeting Date: 05/17/2023	Country: USA	Ticker: VRTS	
Record Date: 03/22/2023	Meeting Type: Annual		
Primary Security ID: 92828Q109	Primary CUSIP: 92828Q109	Primary ISIN: US92828Q1094	Primary SEDOL: B3KKGQ8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director George R. Aylward	Mgmt	For	For
1b	Elect Director Paul G. Greig	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
5	Declassify the Board of Directors	Mgmt	For	For

The Charles Schwab Corporation

Meeting Date: 05/18/2023	Country: USA	Ticker: SCHW	
Record Date: 03/20/2023	Meeting Type: Annual		
Primary Security ID: 808513105	Primary CUSIP: 808513105	Primary ISIN: US8085131055	Primary SEDOL: 2779397

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Marianne C. Brown	Mgmt	For	For
1b	Elect Director Frank C. Herring	Mgmt	For	Against
1c	Elect Director Gerri K. Martin-Flickinger	Mgmt	For	For
1d	Elect Director Todd M. Ricketts	Mgmt	For	For
1e	Elect Director Carolyn Schwab-Pomerantz	Mgmt	For	Against
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year

The Charles Schwab Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Report on Gender/Racial Pay Gap	SH	Against	Against
6	Report on Risks Related to Discrimination Against Individuals Including Political Views	SH	Against	Against

Intercontinental Exchange, Inc.

Meeting Date: 05/19/2023	Country: USA	Ticker: ICE	
Record Date: 03/21/2023	Meeting Type: Annual		
Primary Security ID: 45866F104	Primary CUSIP: 45866F104	Primary ISIN: US45866F1049	Primary SEDOL: BFSSDS9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Sharon Y. Bowen	Mgmt	For	For
1b	Elect Director Shantella E. Cooper	Mgmt	For	For
1c	Elect Director Duriya M. Farooqui	Mgmt	For	For
1d	Elect Director The Right Hon. the Lord Hague of Richmond	Mgmt	For	For
1e	Elect Director Mark F. Mulhern	Mgmt	For	For
1f	Elect Director Thomas E. Noonan	Mgmt	For	For
1g	Elect Director Caroline L. Silver	Mgmt	For	For
1h	Elect Director Jeffrey C. Sprecher	Mgmt	For	For
1i	Elect Director Judith A. Sprieser	Mgmt	For	For
1j	Elect Director Martha A. Tirinnanzi	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
5	Amend Right to Call Special Meeting	SH	Against	Against

Morgan Stanley

Meeting Date: 05/19/2023	Country: USA	Ticker: MS	
Record Date: 03/20/2023	Meeting Type: Annual		
Primary Security ID: 617446448	Primary CUSIP: 617446448	Primary ISIN: US6174464486	Primary SEDOL: 2262314

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Alistair Darling	Mgmt	For	For

Morgan Stanley

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1b	Elect Director Thomas H. Glocer	Mgmt	For	For
1c	Elect Director James P. Gorman	Mgmt	For	For
1d	Elect Director Robert H. Herz	Mgmt	For	For
1e	Elect Director Erika H. James	Mgmt	For	For
1f	Elect Director Hironori Kamezawa	Mgmt	For	For
1g	Elect Director Shelley B. Leibowitz	Mgmt	For	For
1h	Elect Director Stephen J. Luczo	Mgmt	For	For
1i	Elect Director Jami Miscik	Mgmt	For	For
1j	Elect Director Masato Miyachi	Mgmt	For	For
1k	Elect Director Dennis M. Nally	Mgmt	For	For
1l	Elect Director Mary L. Schapiro	Mgmt	For	For
1m	Elect Director Perry M. Traquina	Mgmt	For	For
1n	Elect Director Rayford Wilkins, Jr.	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	Against
6	Adopt Time-Bound Policy to Phase Out Underwriting and Lending for New Fossil Fuel Development	SH	Against	Against

B. Riley Financial, Inc.

Meeting Date: 05/23/2023

Country: USA

Ticker: RILY

Record Date: 03/27/2023

Meeting Type: Annual

Primary Security ID: 05580M108

Primary CUSIP: 05580M108

Primary ISIN: US05580M1080

Primary SEDOL: BSKS2D6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Bryant R. Riley	Mgmt	For	For
1.2	Elect Director Thomas J. Kelleher	Mgmt	For	For
1.3	Elect Director Robert L. Antin	Mgmt	For	For
1.4	Elect Director Tammy Brandt	Mgmt	For	For
1.5	Elect Director Robert D'Agostino	Mgmt	For	For
1.6	Elect Director Renee E. LaBran	Mgmt	For	For

B. Riley Financial, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.7	Elect Director Randall E. Paulson	Mgmt	For	Against
1.8	Elect Director Michael J. Sheldon	Mgmt	For	For
1.9	Elect Director Mimi K. Walters	Mgmt	For	For
2	Ratify Marcum LLP as Auditors	Mgmt	For	For

BlackRock, Inc.

Meeting Date: 05/24/2023

Country: USA

Ticker: BLK

Record Date: 03/30/2023

Meeting Type: Annual

Primary Security ID: 09247X101

Primary CUSIP: 09247X101

Primary ISIN: US09247X1019

Primary SEDOL: 2494504

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Bader M. Alsaad	Mgmt	For	For
1b	Elect Director Pamela Daley	Mgmt	For	For
1c	Elect Director Laurence D. Fink	Mgmt	For	For
1d	Elect Director William E. Ford	Mgmt	For	For
1e	Elect Director Fabrizio Freda	Mgmt	For	For
1f	Elect Director Murry S. Gerber	Mgmt	For	For
1g	Elect Director Margaret "Peggy" L. Johnson	Mgmt	For	For
1h	Elect Director Robert S. Kapito	Mgmt	For	For
1i	Elect Director Cheryl D. Mills	Mgmt	For	For
1j	Elect Director Gordon M. Nixon	Mgmt	For	For
1k	Elect Director Kristin C. Peck	Mgmt	For	For
1l	Elect Director Charles H. Robbins	Mgmt	For	For
1m	Elect Director Marco Antonio Slim Domit	Mgmt	For	Against
1n	Elect Director Hans E. Vestberg	Mgmt	For	For
1o	Elect Director Susan L. Wagner	Mgmt	For	For
1p	Elect Director Mark Wilson	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
5	Report on Third-Party Civil Rights Audit	SH	Against	Against

BlackRock, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Report on Ability to Engineer Decarbonization in the Real Economy	SH	Against	Against
7	Report on Societal Impacts of Aerospace & Defense Industry ETF	SH	Against	Against

Open Lending Corporation

Meeting Date: 05/24/2023	Country: USA	Ticker: LPRO	
Record Date: 03/31/2023	Meeting Type: Annual		
Primary Security ID: 68373J104	Primary CUSIP: 68373J104	Primary ISIN: US68373J1043	Primary SEDOL: BMTD3M6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director John J. Flynn	Mgmt	For	Withhold
1.2	Elect Director Keith A. Jezek	Mgmt	For	For
1.3	Elect Director Jessica Snyder	Mgmt	For	Withhold
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

Perella Weinberg Partners

Meeting Date: 05/24/2023	Country: USA	Ticker: PWP	
Record Date: 03/30/2023	Meeting Type: Annual		
Primary Security ID: 71367G102	Primary CUSIP: 71367G102	Primary ISIN: US71367G1022	Primary SEDOL: BLFB3Y9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Andrew Bednar	Mgmt	For	For
1.2	Elect Director Robert K. Steel	Mgmt	For	Withhold
1.3	Elect Director Jorma Ollila	Mgmt	For	For
1.4	Elect Director Kristin W. Mugford	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For

PJT Partners Inc.

Meeting Date: 05/24/2023	Country: USA	Ticker: PJT	
Record Date: 03/27/2023	Meeting Type: Annual		
Primary Security ID: 69343T107	Primary CUSIP: 69343T107	Primary ISIN: US69343T1079	Primary SEDOL: BYNWB63

PJT Partners Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Thomas M. Ryan	Mgmt	For	For
1b	Elect Director K. Don Cornwell	Mgmt	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	Against
4	Amend Certificate of Incorporation to Reflect New Delaware Law Provisions Regarding Officer Exculpation	Mgmt	For	Against
5	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

Affiliated Managers Group, Inc.

Meeting Date: 05/25/2023	Country: USA	Ticker: AMG	
Record Date: 04/03/2023	Meeting Type: Annual		
Primary Security ID: 008252108	Primary CUSIP: 008252108	Primary ISIN: US0082521081	Primary SEDOL: 2127899

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Karen L. Alvingham	Mgmt	For	For
1b	Elect Director Tracy A. Atkinson	Mgmt	For	For
1c	Elect Director Dwight D. Churchill	Mgmt	For	For
1d	Elect Director Jay C. Horgen	Mgmt	For	For
1e	Elect Director Reuben Jeffery, III	Mgmt	For	For
1f	Elect Director Felix V. Matos Rodriguez	Mgmt	For	For
1g	Elect Director Tracy P. Palandjian	Mgmt	For	For
1h	Elect Director David C. Ryan	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For

Invesco Ltd.

Meeting Date: 05/25/2023	Country: Bermuda	Ticker: IVZ	
Record Date: 03/17/2023	Meeting Type: Annual		
Primary Security ID: G491BT108	Primary CUSIP: G491BT108	Primary ISIN: BMG491BT1088	Primary SEDOL: B28XP76

Invesco Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Sarah E. Beshar	Mgmt	For	For
1.2	Elect Director Thomas M. Finke	Mgmt	For	For
1.3	Elect Director Martin L. Flanagan	Mgmt	For	For
1.4	Elect Director Thomas (Todd) P. Gibbons	Mgmt	For	For
1.5	Elect Director William (Bill) F. Glavin, Jr.	Mgmt	For	Against
1.6	Elect Director Elizabeth (Beth) S. Johnson	Mgmt	For	For
1.7	Elect Director Denis Kessler	Mgmt	For	For
1.8	Elect Director Nigel Sheinwald	Mgmt	For	For
1.9	Elect Director Paula C. Tolliver	Mgmt	For	For
1.10	Elect Director G. Richard (Rick) Wagoner, Jr.	Mgmt	For	For
1.11	Elect Director Christopher C. Womack	Mgmt	For	For
1.12	Elect Director Phoebe A. Wood	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Eliminate Supermajority Vote Requirement	Mgmt	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For

The Carlyle Group Inc.

Meeting Date: 05/30/2023

Country: USA

Ticker: CG

Record Date: 04/03/2023

Meeting Type: Annual

Primary Security ID: 14316J108

Primary CUSIP: 14316J108

Primary ISIN: US14316J1088

Primary SEDOL: BKRTG56

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director William E. Conway, Jr.	Mgmt	For	Withhold
1.2	Elect Director Lawton W. Fitt	Mgmt	For	Withhold
1.3	Elect Director Mark S. Ordan	Mgmt	For	For
1.4	Elect Director Anthony Welters	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Declassify the Board of Directors	Mgmt	For	For

The Carlyle Group Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Amend Omnibus Stock Plan	Mgmt	For	For
5	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
6	Adopt Simple Majority Vote	SH	For	For

SEI Investments Company

Meeting Date: 05/31/2023	Country: USA	Ticker: SEIC	
Record Date: 03/24/2023	Meeting Type: Annual		
Primary Security ID: 784117103	Primary CUSIP: 784117103	Primary ISIN: US7841171033	Primary SEDOL: 2793610

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Ryan P. Hicke	Mgmt	For	For
1b	Elect Director Kathryn M. McCarthy	Mgmt	For	Against
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify KPMG LLP as Auditors	Mgmt	For	For

Artisan Partners Asset Management Inc.

Meeting Date: 06/01/2023	Country: USA	Ticker: APAM	
Record Date: 04/06/2023	Meeting Type: Annual		
Primary Security ID: 04316A108	Primary CUSIP: 04316A108	Primary ISIN: US04316A1088	Primary SEDOL: B8FW545

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Jennifer A. Barbetta	Mgmt	For	For
1.2	Elect Director Matthew R. Barger	Mgmt	For	For
1.3	Elect Director Eric R. Colson	Mgmt	For	For
1.4	Elect Director Tench Coxé	Mgmt	For	For
1.5	Elect Director Stephanie G. DiMarco	Mgmt	For	For
1.6	Elect Director Jeffrey A. Joerres	Mgmt	For	For
1.7	Elect Director Saloni S. Multani	Mgmt	For	For
1.8	Elect Director Andrew A. Ziegler	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

Artisan Partners Asset Management Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Omnibus Stock Plan	Mgmt	For	For
4	Approve Non-Employee Director Omnibus Stock Plan	Mgmt	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For

AssetMark Financial Holdings, Inc.

Meeting Date: 06/05/2023	Country: USA	Ticker: AMK	
Record Date: 04/06/2023	Meeting Type: Annual		
Primary Security ID: 04546L106	Primary CUSIP: 04546L106	Primary ISIN: US04546L1061	Primary SEDOL: BKF9D68

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Rohit Bhagat	Mgmt	For	For
1.2	Elect Director Bryan Lin	Mgmt	For	Withhold
1.3	Elect Director Lei Wang	Mgmt	For	Withhold
2	Ratify KPMG LLP as Auditors	Mgmt	For	For
3	Amend Certificate of Incorporation to Update the Exculpation Provision	Mgmt	For	Against

BrightSphere Investment Group Inc.

Meeting Date: 06/07/2023	Country: USA	Ticker: BSIG	
Record Date: 04/19/2023	Meeting Type: Annual		
Primary Security ID: 10948W103	Primary CUSIP: 10948W103	Primary ISIN: US10948W1036	Primary SEDOL: BJBLBN4

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Robert J. Chersi	Mgmt	For	For
1.2	Elect Director Andrew Kim	Mgmt	For	For
1.3	Elect Director John A. Paulson	Mgmt	For	Against
1.4	Elect Director Barbara Trebbi	Mgmt	For	For
1.5	Elect Director Suren Rana	Mgmt	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

MarketAxess Holdings Inc.

Meeting Date: 06/07/2023

Country: USA

Ticker: MKTX

Record Date: 04/10/2023

Meeting Type: Annual

Primary Security ID: 57060D108

Primary CUSIP: 57060D108

Primary ISIN: US57060D1081

Primary SEDOL: B03Q9D0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Richard M. McVey	Mgmt	For	For
1b	Elect Director Christopher R. Concannon	Mgmt	For	For
1c	Elect Director Nancy Altobello	Mgmt	For	Against
1d	Elect Director Steven L. Begleiter	Mgmt	For	For
1e	Elect Director Stephen P. Casper	Mgmt	For	For
1f	Elect Director Jane Chwick	Mgmt	For	For
1g	Elect Director William F. Cruger	Mgmt	For	For
1h	Elect Director Kourtney Gibson	Mgmt	For	For
1i	Elect Director Richard G. Ketchum	Mgmt	For	For
1j	Elect Director Emily H. Portney	Mgmt	For	For
1k	Elect Director Richard L. Prager	Mgmt	For	Against
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year

Stifel Financial Corp.

Meeting Date: 06/07/2023

Country: USA

Ticker: SF

Record Date: 04/10/2023

Meeting Type: Annual

Primary Security ID: 860630102

Primary CUSIP: 860630102

Primary ISIN: US8606301021

Primary SEDOL: 2849234

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Adam T. Berlew	Mgmt	For	For
1.2	Elect Director Maryam Brown	Mgmt	For	For
1.3	Elect Director Michael W. Brown	Mgmt	For	For
1.4	Elect Director Lisa Carnoy	Mgmt	For	For
1.5	Elect Director Robert E. Grady	Mgmt	For	For
1.6	Elect Director James P. Kavanaugh	Mgmt	For	For
1.7	Elect Director Ronald J. Kruszewski	Mgmt	For	For

Stifel Financial Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.8	Elect Director Daniel J. Ludeman	Mgmt	For	For
1.9	Elect Director Maura A. Markus	Mgmt	For	Withhold
1.10	Elect Director David A. Peacock	Mgmt	For	For
1.11	Elect Director Thomas W. Weisel	Mgmt	For	For
1.12	Elect Director Michael J. Zimmerman	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	None	One Year
4	Amend Certificate of Incorporation to Provide for the Exculpation of Officers	Mgmt	For	For
5	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
6	Other Business	Mgmt	For	Against

Moelis & Company

Meeting Date: 06/08/2023	Country: USA	Ticker: MC	
Record Date: 04/12/2023	Meeting Type: Annual		
Primary Security ID: 60786M105	Primary CUSIP: 60786M105	Primary ISIN: US60786M1053	Primary SEDOL: BLG38Q1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Kenneth Moelis	Mgmt	For	For
1b	Elect Director Eric Cantor	Mgmt	For	For
1c	Elect Director John A. Allison, IV	Mgmt	For	For
1d	Elect Director Kenneth L. Shropshire	Mgmt	For	For
1e	Elect Director Laila Worrell	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

TPG, Inc.

Meeting Date: 06/08/2023	Country: USA	Ticker: TPG	
Record Date: 04/10/2023	Meeting Type: Annual		
Primary Security ID: 872657101	Primary CUSIP: 872657101	Primary ISIN: US8726571016	Primary SEDOL: BNKBB55

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director David Bonderman	Mgmt	For	Withhold
1b	Elect Director Gunther Bright	Mgmt	For	For
1c	Elect Director Maya Chorengel	Mgmt	For	Withhold
1d	Elect Director Jonathan Coslet	Mgmt	For	Withhold
1e	Elect Director James G. 'Jim' Coulter	Mgmt	For	Withhold
1f	Elect Director Mary Cranston	Mgmt	For	For
1g	Elect Director Kelvin Davis	Mgmt	For	Withhold
1h	Elect Director Deborah M. Messemer	Mgmt	For	Withhold
1i	Elect Director Ganendran 'Ganen' Sarvananthan	Mgmt	For	Withhold
1j	Elect Director Todd Sisitsky	Mgmt	For	Withhold
1k	Elect Director David Trujillo	Mgmt	For	Withhold
1l	Elect Director Anilu Vazquez-Ubarri	Mgmt	For	Withhold
1m	Elect Director Jack Weingart	Mgmt	For	Withhold
1n	Elect Director Jon Winkelried	Mgmt	For	For
2a	Elect James G. 'Jim' Coulter as Member of Executive Committee	Mgmt	For	Withhold
2b	Elect Kelvin Davis as Member of Executive Committee	Mgmt	For	Withhold
2c	Elect Ganendran 'Ganen' Sarvananthan as Member of Executive Committee	Mgmt	For	Withhold
2d	Elect Todd Sisitsky as Member of Executive Committee	Mgmt	For	Withhold
2e	Elect David Trujillo as Member of Executive Committee	Mgmt	For	Withhold
2f	Elect Anilu Vazquez-Ubarri as Member of Executive Committee	Mgmt	For	Withhold
2g	Elect Jack Weingart as Member of Executive Committee	Mgmt	For	Withhold
2h	Elect Jon Winkelried as Member of Executive Committee	Mgmt	For	Withhold
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against
4	Advisory Vote on Say on Pay Frequency	Mgmt	Three Years	One Year
5	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
6	Amend Charter to Provide Full Board with Powers Currently Reserved for Executive Committee	Mgmt	For	For

Blue Owl Capital, Inc.

Meeting Date: 06/09/2023

Country: USA

Ticker: OWL

Record Date: 04/19/2023

Meeting Type: Annual

Primary Security ID: 09581B103

Primary CUSIP: 09581B103

Primary ISIN: US09581B1035

Primary SEDOL: BN7CQS9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Claudia Holz	Mgmt	For	For
1b	Elect Director Marc S. Lipschultz	Mgmt	For	For
1c	Elect Director Michael D. Rees	Mgmt	For	Against
2	Ratify KPMG LLP as Auditors	Mgmt	For	For

Ares Management Corporation

Meeting Date: 06/12/2023

Country: USA

Ticker: ARES

Record Date: 04/14/2023

Meeting Type: Annual

Primary Security ID: 03990B101

Primary CUSIP: 03990B101

Primary ISIN: US03990B1017

Primary SEDOL: BF14BT1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Michael J. Arougheti	Mgmt	For	For
1b	Elect Director Ashish Bhutani	Mgmt	For	For
1c	Elect Director Antoinette Bush	Mgmt	For	For
1d	Elect Director R. Kipp deVeer	Mgmt	For	Against
1e	Elect Director Paul G. Joubert	Mgmt	For	For
1f	Elect Director David B. Kaplan	Mgmt	For	Against
1g	Elect Director Michael Lynton	Mgmt	For	Against
1h	Elect Director Eileen Naughton	Mgmt	For	For
1i	Elect Director Judy D. Olian	Mgmt	For	For
1j	Elect Director Antony P. Ressler	Mgmt	For	Against
1k	Elect Director Bennett Rosenthal	Mgmt	For	Against
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Approve Omnibus Stock Plan	Mgmt	For	Against

Virtu Financial, Inc.

Meeting Date: 06/13/2023

Country: USA

Ticker: VIRT

Record Date: 04/18/2023

Meeting Type: Annual

Primary Security ID: 928254101

Primary CUSIP: 928254101

Primary ISIN: US9282541013

Primary SEDOL: BWTVWD4

Virtu Financial, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Douglas A. Cifu	Mgmt	For	For
1.2	Elect Director Joseph J. Grano, Jr.	Mgmt	For	For
1.3	Elect Director Joanne M. Minieri	Mgmt	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
4	Amend Certificate of Incorporation to Reflect New Delaware Law Provisions Regarding Officer Exculpation	Mgmt	For	For

Evercore Inc.

Meeting Date: 06/15/2023	Country: USA	Ticker: EVR	
Record Date: 04/21/2023	Meeting Type: Annual		
Primary Security ID: 29977A105	Primary CUSIP: 29977A105	Primary ISIN: US29977A1051	Primary SEDOL: B1BHXZ2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Roger C. Altman	Mgmt	For	For
1b	Elect Director Richard I. Beattie	Mgmt	For	For
1c	Elect Director Pamela G. Carlton	Mgmt	For	For
1d	Elect Director Ellen V. Futter	Mgmt	For	For
1e	Elect Director Gail B. Harris	Mgmt	For	For
1f	Elect Director Robert B. Millard	Mgmt	For	For
1g	Elect Director Willard J. Overlock, Jr.	Mgmt	For	For
1h	Elect Director Simon M. Robertson	Mgmt	For	For
1i	Elect Director John S. Weinberg	Mgmt	For	For
1j	Elect Director William J. Wheeler	Mgmt	For	For
1k	Elect Director Sarah K. Williamson	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

Coinbase Global, Inc.

Meeting Date: 06/16/2023

Country: USA

Ticker: COIN

Record Date: 04/18/2023

Meeting Type: Annual

Primary Security ID: 19260Q107

Primary CUSIP: 19260Q107

Primary ISIN: US19260Q1076

Primary SEDOL: BMC9P69

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Frederick Ernest Ehrsam, III	Mgmt	For	For
1.2	Elect Director Kathryn Haun	Mgmt	For	Withhold
1.3	Elect Director Kelly A. Kramer	Mgmt	For	For
1.4	Elect Director Tobias Lutke	Mgmt	For	For
1.5	Elect Director Gokul Rajaram	Mgmt	For	Withhold
1.6	Elect Director Fred Wilson	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

P10, Inc.

Meeting Date: 06/16/2023

Country: USA

Ticker: PX

Record Date: 04/20/2023

Meeting Type: Annual

Primary Security ID: 69376K106

Primary CUSIP: 69376K106

Primary ISIN: US69376K1060

Primary SEDOL: BLB56T1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director David M. McCoy	Mgmt	For	Withhold
1.2	Elect Director Robert B. Stewart, Jr.	Mgmt	For	Withhold
2	Ratify KPMG LLP as Auditors	Mgmt	For	For

WisdomTree, Inc.

Meeting Date: 06/16/2023

Country: USA

Ticker: WT

Record Date: 04/27/2023

Meeting Type: Proxy Contest

Primary Security ID: 97717P104

Primary CUSIP: 97717P104

Primary ISIN: US97717P1049

Primary SEDOL: 2476513

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Management Proxy (White Proxy Card)	Mgmt		
	From the Combined List of Management & Dissident Nominees - Elect 6 Directors	Mgmt		

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1A	Elect Management Nominee Director Lynn S. Blake	Mgmt	For	For
1B	Elect Management Nominee Director Daniela Mielke	Mgmt	For	For
1C	Elect Management Nominee Director Win Neuger	Mgmt	For	For
1D	Elect Management Nominee Director Shamla Naidoo	Mgmt	For	For
1E	Elect Management Nominee Director Frank Salerno	Mgmt	For	For
1F	Elect Management Nominee Director Jonathan Steinberg	Mgmt	For	For
1G	Elect Dissident Nominee Director Bruce E. Aust	SH	Withhold	Withhold
1H	Elect Dissident Nominee Director Tonia Pankopf	SH	Withhold	Withhold
1I	Elect Dissident Nominee Director Graham Tuckwell	SH	Withhold	Withhold
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Adopt Shareholder Rights Plan	Mgmt	For	Against
	Dissident Universal Proxy (Gold Proxy Card)	Mgmt		
	From the Combined List of Management & Dissident Nominees - Elect 6 Directors	Mgmt		
	ETFS NOMINEES	Mgmt		
1.1	Elect Dissident Nominee Director Bruce E. Aust	SH	For	Do Not Vote
1.2	Elect Dissident Nominee Director Tonia Pankopf	SH	For	Do Not Vote
1.3	Elect Dissident Nominee Director Graham Tuckwell	SH	For	Do Not Vote
	COMPANY NOMINEES UNOPPOSED BY ETFS	Mgmt		
1.1	Elect Management Nominee Director Lynn S. Blake	Mgmt	For	Do Not Vote
1.2	Elect Management Nominee Director Daniela Mielke	Mgmt	For	Do Not Vote
1.3	Elect Management Nominee Director Jonathan Steinberg	Mgmt	For	Do Not Vote
	COMPANY NOMINEES OPPOSED BY ETFS	Mgmt		
1.1	Elect Management Nominee Director Shamla Naidoo	Mgmt	Against	Do Not Vote
1.2	Elect Management Nominee Director Win Neuger	Mgmt	Against	Do Not Vote

WisdomTree, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.3	Elect Management Nominee Director Frank Salerno	Mgmt	Against	Do Not Vote
2	Ratify Ernst & Young LLP as Auditors	Mgmt	None	Do Not Vote
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	None	Do Not Vote
4	Adopt Shareholder Rights Plan	Mgmt	None	Do Not Vote

Robinhood Markets, Inc.

Meeting Date: 06/20/2023	Country: USA	Ticker: HOOD	
Record Date: 04/21/2023	Meeting Type: Annual		
Primary Security ID: 770700102	Primary CUSIP: 770700102	Primary ISIN: US7707001027	Primary SEDOL: BP0TQN6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Frances Frei	Mgmt	For	For
1.2	Elect Director Meyer Malka	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For

Nasdaq, Inc.

Meeting Date: 06/21/2023	Country: USA	Ticker: NDAQ	
Record Date: 04/24/2023	Meeting Type: Annual		
Primary Security ID: 631103108	Primary CUSIP: 631103108	Primary ISIN: US6311031081	Primary SEDOL: 2965107

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Melissa M. Arnoldi	Mgmt	For	For
1b	Elect Director Charlene T. Begley	Mgmt	For	For
1c	Elect Director Steven D. Black	Mgmt	For	For
1d	Elect Director Adena T. Friedman	Mgmt	For	For
1e	Elect Director Essa Kazim	Mgmt	For	For
1f	Elect Director Thomas A. Kloet	Mgmt	For	For
1g	Elect Director Michael R. Splinter	Mgmt	For	For
1h	Elect Director Johan Torgeby	Mgmt	For	For
1i	Elect Director Toni Townes-Whitley	Mgmt	For	For
1j	Elect Director Jeffery W. Yabuki	Mgmt	For	For

Nasdaq, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1k	Elect Director Alfred W. Zollar	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
5	Require Independent Board Chair	SH	Against	Against

BGC Partners, Inc.

Meeting Date: 06/27/2023	Country: USA	Ticker: BGCP	
Record Date: 05/19/2023	Meeting Type: Written Consent		
Primary Security ID: 05541T101	Primary CUSIP: 05541T101	Primary ISIN: US05541T1016	Primary SEDOL: 2528168

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Plan of Conversion	Mgmt	For	For
2	Amend Omnibus Stock Plan	Mgmt	For	Against
3a	Increase Authorized Class A Common Stock	Mgmt	For	For
3b	Increase Authorized Class B Common Stock	Mgmt	For	Against
3c	Amend Certificate of Incorporation to Reflect New Delaware Law Provisions Regarding Officer Exculpation	Mgmt	For	Against
3d	Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes	Mgmt	For	For

Jefferies Financial Group Inc.

Meeting Date: 06/28/2023	Country: USA	Ticker: JEF	
Record Date: 05/24/2023	Meeting Type: Special		
Primary Security ID: 47233W109	Primary CUSIP: 47233W109	Primary ISIN: US47233W1099	Primary SEDOL: BG0Q4Z2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize a New Class of Common Stock	Mgmt	For	For
2	Adjourn Meeting	Mgmt	For	Against