

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021
Location(s): State Street Global Advisors
Institution Account(s): SPDR S&P Capital Markets ETF

E*TRADE Financial Corporation

Meeting Date: 07/17/2020 **Country:** USA **Primary Security ID:** 269246401
Record Date: 06/10/2020 **Meeting Type:** Special **Ticker:** ETFC
Primary CUSIP: 269246401 **Primary ISIN:** US2692464017 **Primary SEDOL:** 2299011

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against
3	Adjourn Meeting	Mgmt	For	Against

Hamilton Lane Incorporated

Meeting Date: 09/03/2020 **Country:** USA **Primary Security ID:** 407497106
Record Date: 07/08/2020 **Meeting Type:** Annual **Ticker:** HLNE
Primary CUSIP: 407497106 **Primary ISIN:** US4074971064 **Primary SEDOL:** BF0SR29

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director David J. Berkman	Mgmt	For	For
1.2	Elect Director O. Griffith Sexton	Mgmt	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For

Houlihan Lokey, Inc.

Meeting Date: 09/24/2020 **Country:** USA **Primary Security ID:** 441593100
Record Date: 07/28/2020 **Meeting Type:** Annual **Ticker:** HLI
Primary CUSIP: 441593100 **Primary ISIN:** US4415931009 **Primary SEDOL:** BYQ3PM7

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Irwin N. Gold	Mgmt	For	Withhold

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Houlihan Lokey, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.2	Elect Director Gillian B. Zucker	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For

Apollo Global Management, Inc.

Meeting Date: 10/01/2020 **Country:** USA **Primary Security ID:** 03768E105
Record Date: 08/07/2020 **Meeting Type:** Annual **Ticker:** APO

Primary CUSIP: 03768E105 **Primary ISIN:** US03768E1055 **Primary SEDOL:** BKRLVDS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Leon Black	Mgmt	For	For
1.2	Elect Director Joshua Harris	Mgmt	For	Withhold
1.3	Elect Director Marc Rowan	Mgmt	For	Withhold
1.4	Elect Director Michael Ducey	Mgmt	For	Withhold
1.5	Elect Director Robert Kraft	Mgmt	For	For
1.6	Elect Director A.B. Krongard	Mgmt	For	Withhold
1.7	Elect Director Pauline Richards	Mgmt	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against
3	Advisory Vote on Say on Pay Frequency	Mgmt	Three Years	One Year
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	Against

FactSet Research Systems Inc.

Meeting Date: 12/17/2020 **Country:** USA **Primary Security ID:** 303075105
Record Date: 10/22/2020 **Meeting Type:** Annual **Ticker:** FDS

Primary CUSIP: 303075105 **Primary ISIN:** US3030751057 **Primary SEDOL:** 2329770

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FactSet Research Systems Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Robin A. Abrams	Mgmt	For	Against
1b	Elect Director Laurie Siegel	Mgmt	For	For
1c	Elect Director Malcolm Frank	Mgmt	For	For
1d	Elect Director Siew Kai Choy	Mgmt	For	For
1e	Elect Director Lee Shavel	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

Franklin Resources, Inc.

Meeting Date: 02/09/2021

Country: USA

Primary Security ID: 354613101

Record Date: 12/11/2020

Meeting Type: Annual

Ticker: BEN

Primary CUSIP: 354613101

Primary ISIN: US3546131018

Primary SEDOL: 2350684

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Mariann Byerwalter	Mgmt	For	For
1b	Elect Director Alexander S. Friedman	Mgmt	For	For
1c	Elect Director Gregory E. Johnson	Mgmt	For	For
1d	Elect Director Jennifer M. Johnson	Mgmt	For	For
1e	Elect Director Rupert H. Johnson, Jr.	Mgmt	For	For
1f	Elect Director John Y. Kim	Mgmt	For	For
1g	Elect Director Anthony J. Noto	Mgmt	For	For
1h	Elect Director John W. Thiel	Mgmt	For	For
1i	Elect Director Seth H. Waugh	Mgmt	For	For
1j	Elect Director Geoffrey Y. Yang	Mgmt	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	For

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Raymond James Financial, Inc.

Meeting Date: 02/18/2021	Country: USA	Primary Security ID: 754730109
Record Date: 12/21/2020	Meeting Type: Annual	Ticker: RJF
Primary CUSIP: 754730109	Primary ISIN: US7547301090	Primary SEDOL: 2718992

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Charles G. von Arentschildt	Mgmt	For	For
1b	Elect Director Marlene Debel	Mgmt	For	For
1c	Elect Director Robert M. Dutkowsky	Mgmt	For	Against
1d	Elect Director Jeffrey N. Edwards	Mgmt	For	For
1e	Elect Director Benjamin C. Esty	Mgmt	For	For
1f	Elect Director Anne Gates	Mgmt	For	For
1g	Elect Director Francis S. Godbold	Mgmt	For	For
1h	Elect Director Thomas A. James	Mgmt	For	For
1i	Elect Director Gordon L. Johnson	Mgmt	For	For
1j	Elect Director Roderick C. McGeary	Mgmt	For	For
1k	Elect Director Paul C. Reilly	Mgmt	For	For
1l	Elect Director Raj Seshadri	Mgmt	For	For
1m	Elect Director Susan N. Story	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For

StoneX Group Inc.

Meeting Date: 02/25/2021	Country: USA	Primary Security ID: 861896108
Record Date: 01/07/2021	Meeting Type: Annual	Ticker: SNEX
Primary CUSIP: 861896108	Primary ISIN: US8618961085	Primary SEDOL: BMQ8XV3

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Annabelle G. Bexiga	Mgmt	For	For

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StoneX Group Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.2	Elect Director Scott J. Branch	Mgmt	For	For
1.3	Elect Director Diane L. Cooper	Mgmt	For	For
1.4	Elect Director John M. Fowler	Mgmt	For	For
1.5	Elect Director Steven Kass	Mgmt	For	For
1.6	Elect Director Bruce W. Krehbiel	Mgmt	For	For
1.7	Elect Director Sean M. O'Connor	Mgmt	For	For
1.8	Elect Director Eric Parthemore	Mgmt	For	For
1.9	Elect Director John Radziwill	Mgmt	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Amend Stock Option Plan	Mgmt	For	Against

S&P Global Inc.

Meeting Date: 03/11/2021 **Country:** USA **Primary Security ID:** 78409V104
Record Date: 01/19/2021 **Meeting Type:** Special **Ticker:** SPGI
Primary CUSIP: 78409V104 **Primary ISIN:** US78409V1044 **Primary SEDOL:** BYV2325

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Issue Shares in Connection with Merger	Mgmt	For	For

Waddell & Reed Financial, Inc.

Meeting Date: 03/23/2021 **Country:** USA **Primary Security ID:** 930059100
Record Date: 02/05/2021 **Meeting Type:** Special **Ticker:** WDR
Primary CUSIP: 930059100 **Primary ISIN:** US9300591008 **Primary SEDOL:** 2156503

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	For

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Institution Account(s): SPDR S&P Capital Markets ETF

Waddell & Reed Financial, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against
3	Adjourn Meeting	Mgmt	For	Against

The Bank of New York Mellon Corporation

Meeting Date: 04/13/2021

Country: USA

Primary Security ID: 064058100

Record Date: 02/16/2021

Meeting Type: Annual

Ticker: BK

Primary CUSIP: 064058100

Primary ISIN: US0640581007

Primary SEDOL: B1Z77F6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Linda Z. Cook	Mgmt	For	For
1b	Elect Director Joseph J. Echevarria	Mgmt	For	Against
1c	Elect Director Thomas P. "Todd" Gibbons	Mgmt	For	For
1d	Elect Director M. Amy Gilliland	Mgmt	For	For
1e	Elect Director Jeffrey A. Goldstein	Mgmt	For	For
1f	Elect Director K. Guru Gowrappan	Mgmt	For	For
1g	Elect Director Ralph Izzo	Mgmt	For	For
1h	Elect Director Edmund F. "Ted" Kelly	Mgmt	For	For
1i	Elect Director Elizabeth E. Robinson	Mgmt	For	For
1j	Elect Director Samuel C. Scott, III	Mgmt	For	For
1k	Elect Director Frederick O. Terrell	Mgmt	For	For
1l	Elect Director Alfred W. "Al" Zollar	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For
4	Reduce Ownership Threshold for Shareholders to Request Action by Written Consent	SH	Against	Against

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Moody's Corporation

Meeting Date: 04/20/2021 **Country:** USA **Primary Security ID:** 615369105
Record Date: 02/23/2021 **Meeting Type:** Annual **Ticker:** MCO
Primary CUSIP: 615369105 **Primary ISIN:** US6153691059 **Primary SEDOL:** 2252058

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Jorge A. Bermudez	Mgmt	For	For
1b	Elect Director Therese Esperdy	Mgmt	For	For
1c	Elect Director Robert Fauber	Mgmt	For	For
1d	Elect Director Vincent A. Forlenza	Mgmt	For	For
1e	Elect Director Kathryn M. Hill	Mgmt	For	For
1f	Elect Director Lloyd W. Howell, Jr.	Mgmt	For	For
1g	Elect Director Raymond W. McDaniel, Jr.	Mgmt	For	For
1h	Elect Director Leslie F. Seidman	Mgmt	For	For
1i	Elect Director Bruce Van Saun	Mgmt	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Approve 2020 Decarbonization Plan	Mgmt	For	For

Northern Trust Corporation

Meeting Date: 04/20/2021 **Country:** USA **Primary Security ID:** 665859104
Record Date: 02/22/2021 **Meeting Type:** Annual **Ticker:** NTRS
Primary CUSIP: 665859104 **Primary ISIN:** US6658591044 **Primary SEDOL:** 2648668

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Linda Walker Bynoe	Mgmt	For	For
1b	Elect Director Susan Crown	Mgmt	For	For
1c	Elect Director Dean M. Harrison	Mgmt	For	For
1d	Elect Director Jay L. Henderson	Mgmt	For	For

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Northern Trust Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1e	Elect Director Marcy S. Klevorn	Mgmt	For	For
1f	Elect Director Siddharth N. (Bobby) Mehta	Mgmt	For	For
1g	Elect Director Michael G. O'Grady	Mgmt	For	For
1h	Elect Director Jose Luis Prado	Mgmt	For	For
1i	Elect Director Thomas E. Richards	Mgmt	For	For
1j	Elect Director Martin P. Slark	Mgmt	For	For
1k	Elect Director David H. B. Smith, Jr.	Mgmt	For	For
1l	Elect Director Donald Thompson	Mgmt	For	For
1m	Elect Director Charles A. Tribbett, III	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For

Blucora, Inc.

Meeting Date: 04/21/2021	Country: USA	Primary Security ID: 095229100
Record Date: 02/24/2021	Meeting Type: Proxy Contest	Ticker: BCOR
Primary CUSIP: 095229100	Primary ISIN: US0952291005	Primary SEDOL: B8L2DV2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Management Proxy (Blue Proxy Card)	Mgmt		
1.1	Elect Director Georganne C. Proctor	Mgmt	For	For
1.2	Elect Director Steven Aldrich	Mgmt	For	For
1.3	Elect Director Mark A. Ernst	Mgmt	For	For
1.4	Elect Director E. Carol Hayles	Mgmt	For	For
1.5	Elect Director John MacIlwaine	Mgmt	For	For
1.6	Elect Director Tina Perry	Mgmt	For	For
1.7	Elect Director Karthik Rao	Mgmt	For	For
1.8	Elect Director Jana R. Schreuder	Mgmt	For	For
1.9	Elect Director Christopher W. Walters	Mgmt	For	For

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Blucora, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.10	Elect Director Mary S. Zappone	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
	Dissident Proxy (White Proxy Card)	Mgmt		
1.1	Elect Director Frederick D. DiSanto	SH	For	Do Not Vote
1.2	Elect Director Cindy Schulze Flynn	SH	For	Do Not Vote
1.3	Elect Director Robert D. MacKinlay	SH	For	Do Not Vote
1.4	Elect Director Kimberly Smith Spacek	SH	For	Do Not Vote
1.5	Management Nominee Mark A. Ernst	SH	For	Do Not Vote
1.6	Management Nominee E. Carol Hayles	SH	For	Do Not Vote
1.7	Management Nominee Tina Perry	SH	For	Do Not Vote
1.8	Management Nominee Karthik Rao	SH	For	Do Not Vote
1.9	Management Nominee Jana R. Schreuder	SH	For	Do Not Vote
1.10	Management Nominee Christopher W. Walters	SH	For	Do Not Vote
2	Ratify Ernst & Young LLP as Auditors	Mgmt	None	Do Not Vote
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Against	Do Not Vote

Interactive Brokers Group, Inc.

Meeting Date: 04/22/2021

Country: USA

Primary Security ID: 45841N107

Record Date: 02/26/2021

Meeting Type: Annual

Ticker: IBKR

Primary CUSIP: 45841N107

Primary ISIN: US45841N1072

Primary SEDOL: B1WT4X2

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Interactive Brokers Group, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1A	Elect Director Thomas Peterffy	Mgmt	For	Against
1B	Elect Director Earl H. Nemser	Mgmt	For	Against
1C	Elect Director Milan Galik	Mgmt	For	Against
1D	Elect Director Paul J. Brody	Mgmt	For	Against
1E	Elect Director Lawrence E. Harris	Mgmt	For	For
1F	Elect Director Gary Katz	Mgmt	For	For
1G	Elect Director John M. Damgard	Mgmt	For	For
1H	Elect Director Philip Uhde	Mgmt	For	For
1I	Elect Director William Peterffy	Mgmt	For	Against
1J	Elect Director Nicole Yuen	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

Diamond Hill Investment Group, Inc.

Meeting Date: 04/26/2021

Country: USA

Primary Security ID: 25264R207

Record Date: 03/01/2021

Meeting Type: Annual

Ticker: DHIL

Primary CUSIP: 25264R207

Primary ISIN: US25264R2076

Primary SEDOL: 2801137

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Heather E. Brilliant	Mgmt	For	For
1b	Elect Director Richard S. Cooley	Mgmt	For	For
1c	Elect Director James F. Laird	Mgmt	For	For
1d	Elect Director Randolph J. Fortener	Mgmt	For	For
1e	Elect Director Paula R. Meyer	Mgmt	For	For
1f	Elect Director Nicole R. St. Pierre	Mgmt	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For

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Diamond Hill Investment Group, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Approve Qualified Employee Stock Purchase Plan	Mgmt	For	For

MSCI Inc.

Meeting Date: 04/27/2021 **Country:** USA **Primary Security ID:** 55354G100
Record Date: 03/02/2021 **Meeting Type:** Annual **Ticker:** MSCI
Primary CUSIP: 55354G100 **Primary ISIN:** US55354G1004 **Primary SEDOL:** B2972D2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Henry A. Fernandez	Mgmt	For	For
1b	Elect Director Robert G. Ashe	Mgmt	For	For
1c	Elect Director Wayne Edmunds	Mgmt	For	For
1d	Elect Director Catherine R. Kinney	Mgmt	For	Against
1e	Elect Director Jacques P. Perold	Mgmt	For	For
1f	Elect Director Sandy C. Rattray	Mgmt	For	For
1g	Elect Director Linda H. Riefler	Mgmt	For	For
1h	Elect Director Marcus L. Smith	Mgmt	For	For
1i	Elect Director Paula Volent	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For

Ameriprise Financial, Inc.

Meeting Date: 04/28/2021 **Country:** USA **Primary Security ID:** 03076C106
Record Date: 03/01/2021 **Meeting Type:** Annual **Ticker:** AMP
Primary CUSIP: 03076C106 **Primary ISIN:** US03076C1062 **Primary SEDOL:** B0J7D57

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Ameriprise Financial, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director James M. Cracchiolo	Mgmt	For	For
1b	Elect Director Dianne Neal Blixt	Mgmt	For	For
1c	Elect Director Amy DiGeso	Mgmt	For	For
1d	Elect Director Lon R. Greenberg	Mgmt	For	For
1e	Elect Director Jeffrey Noddle	Mgmt	For	For
1f	Elect Director Robert F. Sharpe, Jr.	Mgmt	For	For
1g	Elect Director Brian T. Shea	Mgmt	For	For
1h	Elect Director W. Edward Walter, III	Mgmt	For	For
1i	Elect Director Christopher J. Williams	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For

Janus Henderson Group Plc

Meeting Date: 04/29/2021

Country: Jersey

Primary Security ID: G4474Y214

Record Date: 03/11/2021

Meeting Type: Annual

Ticker: JHG

Primary CUSIP: G4474Y214

Primary ISIN: JE00BYPZJM29

Primary SEDOL: BYPZJQ6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Elect Director Alison Davis	Mgmt	For	For
3	Elect Director Kalpana Desai	Mgmt	For	For
4	Elect Director Jeffrey Diermeier	Mgmt	For	For
5	Elect Director Kevin Dolan	Mgmt	For	For
6	Elect Director Eugene Flood, Jr.	Mgmt	For	For
7	Elect Director Richard Gillingwater	Mgmt	For	Against
8	Elect Director Lawrence Kochard	Mgmt	For	For

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Janus Henderson Group Plc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Elect Director Glenn Schafer	Mgmt	For	For
10	Elect Director Angela Seymour-Jackson	Mgmt	For	For
11	Elect Director Richard Weil	Mgmt	For	For
12	Approve PricewaterhouseCoopers LLP as Auditors and Authorise Audit Committee to Fix Their Remuneration	Mgmt	For	For
13	Authorise Market Purchase of Ordinary Shares	Mgmt	For	For
14	Authorise Market Purchase of CDIs	Mgmt	For	For

Lazard Ltd

Meeting Date: 04/29/2021 **Country:** Bermuda **Primary Security ID:** G54050102
Record Date: 03/04/2021 **Meeting Type:** Annual **Ticker:** LAZ
Primary CUSIP: G54050102 **Primary ISIN:** BMG540501027 **Primary SEDOL:** B081VQ7

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Ann-Kristin Achleitner	Mgmt	For	For
1.2	Elect Director Andrew M. Alper	Mgmt	For	For
1.3	Elect Director Ashish Bhutani	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	Against
4	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

PJT Partners Inc.

Meeting Date: 04/29/2021 **Country:** USA **Primary Security ID:** 69343T107
Record Date: 03/08/2021 **Meeting Type:** Annual **Ticker:** PJT
Primary CUSIP: 69343T107 **Primary ISIN:** US69343T1079 **Primary SEDOL:** BYNWB63

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PJT Partners Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director James Costos	Mgmt	For	For
1b	Elect Director Grace R. Skaugen	Mgmt	For	For
1c	Elect Director Kenneth C. Whitney	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

The Goldman Sachs Group, Inc.

Meeting Date: 04/29/2021 **Country:** USA **Primary Security ID:** 38141G104
Record Date: 03/01/2021 **Meeting Type:** Annual **Ticker:** GS

Primary CUSIP: 38141G104 **Primary ISIN:** US38141G1040 **Primary SEDOL:** 2407966

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director M. Michele Burns	Mgmt	For	For
1b	Elect Director Drew G. Faust	Mgmt	For	For
1c	Elect Director Mark A. Flaherty	Mgmt	For	For
1d	Elect Director Ellen J. Kullman	Mgmt	For	For
1e	Elect Director Lakshmi N. Mittal	Mgmt	For	Against
1f	Elect Director Adebayo O. Ogunlesi	Mgmt	For	For
1g	Elect Director Peter Oppenheimer	Mgmt	For	For
1h	Elect Director David M. Solomon	Mgmt	For	For
1i	Elect Director Jan E. Tighe	Mgmt	For	For
1j	Elect Director Jessica R. Uhl	Mgmt	For	For
1k	Elect Director David A. Viniar	Mgmt	For	For
1l	Elect Director Mark O. Winkelman	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Abstain
3	Amend Omnibus Stock Plan	Mgmt	For	Against

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The Goldman Sachs Group, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
5	Provide Right to Act by Written Consent	SH	Against	Against
6	Report on the Impacts of Using Mandatory Arbitration	SH	Against	Abstain
7	Amend Certificate of Incorporation to Become a Public Benefit Corporation	SH	Against	Against
8	Report on Racial Equity Audit	SH	Against	Abstain

CME Group Inc.

Meeting Date: 05/05/2021	Country: USA	Primary Security ID: 12572Q105
Record Date: 03/08/2021	Meeting Type: Annual	Ticker: CME
Primary CUSIP: 12572Q105	Primary ISIN: US12572Q1058	Primary SEDOL: 2965839

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Terrence A. Duffy	Mgmt	For	For
1b	Elect Director Timothy S. Bitsberger	Mgmt	For	For
1c	Elect Director Charles P. Carey	Mgmt	For	For
1d	Elect Director Dennis H. Chookaszian	Mgmt	For	For
1e	Elect Director Bryan T. Durkin	Mgmt	For	For
1f	Elect Director Ana Dutra	Mgmt	For	For
1g	Elect Director Martin J. Gepsman	Mgmt	For	For
1h	Elect Director Larry G. Gerdes	Mgmt	For	For
1i	Elect Director Daniel R. Glickman	Mgmt	For	For
1j	Elect Director Daniel G. Kaye	Mgmt	For	For
1k	Elect Director Phyllis M. Lockett	Mgmt	For	For
1l	Elect Director Deborah J. Lucas	Mgmt	For	For
1m	Elect Director Terry L. Savage	Mgmt	For	For
1n	Elect Director Rahael Seifu	Mgmt	For	For
1o	Elect Director William R. Shepard	Mgmt	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): State Street Global Advisors

Institution Account(s): SPDR S&P Capital Markets ETF

CME Group Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1p	Elect Director Howard J. Siegel	Mgmt	For	For
1q	Elect Director Dennis A. Suskind	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

LPL Financial Holdings Inc.

Meeting Date: 05/05/2021

Country: USA

Primary Security ID: 50212V100

Record Date: 03/08/2021

Meeting Type: Annual

Ticker: LPLA

Primary CUSIP: 50212V100

Primary ISIN: US50212V1008

Primary SEDOL: B75JX34

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Dan H. Arnold	Mgmt	For	For
1b	Elect Director Edward C. Bernard	Mgmt	For	For
1c	Elect Director H. Paulett Eberhart	Mgmt	For	For
1d	Elect Director William F. Glavin, Jr.	Mgmt	For	For
1e	Elect Director Allison H. Mnookin	Mgmt	For	For
1f	Elect Director Anne M. Mulcahy	Mgmt	For	Against
1g	Elect Director James S. Putnam	Mgmt	For	For
1h	Elect Director Richard P. Schifter	Mgmt	For	For
1i	Elect Director Corey E. Thomas	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Approve Omnibus Stock Plan	Mgmt	For	Against
5	Approve Qualified Employee Stock Purchase Plan	Mgmt	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021
Location(s): State Street Global Advisors
Institution Account(s): SPDR S&P Capital Markets ETF

S&P Global Inc.

Meeting Date: 05/05/2021	Country: USA	Primary Security ID: 78409V104
Record Date: 03/22/2021	Meeting Type: Annual	Ticker: SPGI
Primary CUSIP: 78409V104	Primary ISIN: US78409V1044	Primary SEDOL: BYV2325

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Marco Alvera	Mgmt	For	For
1.2	Elect Director William J. Amelio	Mgmt	For	For
1.3	Elect Director William D. Green	Mgmt	For	Against
1.4	Elect Director Stephanie C. Hill	Mgmt	For	For
1.5	Elect Director Rebecca J. Jacoby	Mgmt	For	For
1.6	Elect Director Monique F. Leroux	Mgmt	For	For
1.7	Elect Director Ian P. Livingston	Mgmt	For	For
1.8	Elect Director Maria R. Morris	Mgmt	For	For
1.9	Elect Director Douglas L. Peterson	Mgmt	For	For
1.10	Elect Director Edward B. Rust, Jr.	Mgmt	For	For
1.11	Elect Director Kurt L. Schmoke	Mgmt	For	For
1.12	Elect Director Richard E. Thornburgh	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
4	Approve Greenhouse Gas (GHG) Emissions Reduction Plan	Mgmt	For	For
5	Amend Certificate of Incorporation to Become a Public Benefit Corporation	SH	Against	Against

Cohen & Steers, Inc.

Meeting Date: 05/06/2021	Country: USA	Primary Security ID: 19247A100
Record Date: 03/11/2021	Meeting Type: Annual	Ticker: CNS
Primary CUSIP: 19247A100	Primary ISIN: US19247A1007	Primary SEDOL: B02H882

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): State Street Global Advisors

Institution Account(s): SPDR S&P Capital Markets ETF

Cohen & Steers, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Martin Cohen	Mgmt	For	Against
1b	Elect Director Robert H. Steers	Mgmt	For	For
1c	Elect Director Joseph M. Harvey	Mgmt	For	Against
1d	Elect Director Reena Aggarwal	Mgmt	For	For
1e	Elect Director Frank T. Connor	Mgmt	For	For
1f	Elect Director Peter L. Rhein	Mgmt	For	For
1g	Elect Director Richard P. Simon	Mgmt	For	For
1h	Elect Director Dasha Smith	Mgmt	For	For
1i	Elect Director Edmond D. Villani	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

T. Rowe Price Group, Inc.

Meeting Date: 05/11/2021

Country: USA

Primary Security ID: 74144T108

Record Date: 03/11/2021

Meeting Type: Annual

Ticker: TROW

Primary CUSIP: 74144T108

Primary ISIN: US74144T1088

Primary SEDOL: 2702337

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Mark S. Bartlett	Mgmt	For	Against
1b	Elect Director Mary K. Bush	Mgmt	For	For
1c	Elect Director Dina Dublon	Mgmt	For	For
1d	Elect Director Freeman A. Hrabowski, III	Mgmt	For	For
1e	Elect Director Robert F. MacLellan	Mgmt	For	For
1f	Elect Director Olympia J. Snowe	Mgmt	For	For
1g	Elect Director Robert J. Stevens	Mgmt	For	For
1h	Elect Director William J. Stromberg	Mgmt	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021
Location(s): State Street Global Advisors
Institution Account(s): SPDR S&P Capital Markets ETF

T. Rowe Price Group, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1i	Elect Director Richard R. Verma	Mgmt	For	For
1j	Elect Director Sandra S. Wijnberg	Mgmt	For	For
1k	Elect Director Alan D. Wilson	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For
4	Report on and Assess Proxy Voting Policies in Relation to Climate Change Position	SH	Against	Against

Tradeweb Markets Inc.

Meeting Date: 05/11/2021	Country: USA	Primary Security ID: 892672106
Record Date: 03/15/2021	Meeting Type: Annual	Ticker: TW
Primary CUSIP: 892672106	Primary ISIN: US8926721064	Primary SEDOL: BJXMKV2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Paula Madoff	Mgmt	For	Withhold
1.2	Elect Director Thomas Pluta	Mgmt	For	Withhold
1.3	Elect Director Brian West	Mgmt	For	Withhold
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	Three Years	One Year

Cboe Global Markets, Inc.

Meeting Date: 05/13/2021	Country: USA	Primary Security ID: 12503M108
Record Date: 03/18/2021	Meeting Type: Annual	Ticker: CBOE
Primary CUSIP: 12503M108	Primary ISIN: US12503M1080	Primary SEDOL: B5834C5

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): State Street Global Advisors

Institution Account(s): SPDR S&P Capital Markets ETF

Cboe Global Markets, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Edward T. Tilly	Mgmt	For	For
1b	Elect Director Eugene S. Sunshine	Mgmt	For	For
1c	Elect Director William M. Farrow, III	Mgmt	For	For
1d	Elect Director Edward J. Fitzpatrick	Mgmt	For	For
1e	Elect Director Ivan K. Fong	Mgmt	For	For
1f	Elect Director Janet P. Froetscher	Mgmt	For	For
1g	Elect Director Jill R. Goodman	Mgmt	For	For
1h	Elect Director Alexander J. Maturri, Jr.	Mgmt	For	For
1i	Elect Director Jennifer J. McPeck	Mgmt	For	For
1j	Elect Director Roderick A. Palmore	Mgmt	For	For
1k	Elect Director James E. Parisi	Mgmt	For	For
1l	Elect Director Joseph P. Ratterman	Mgmt	For	For
1m	Elect Director Jill E. Sommers	Mgmt	For	For
1n	Elect Director Fredric J. Tomczyk	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For

Invesco Ltd.

Meeting Date: 05/13/2021	Country: Bermuda	Primary Security ID: G491BT108
Record Date: 03/15/2021	Meeting Type: Annual	Ticker: IVZ
Primary CUSIP: G491BT108	Primary ISIN: BMG491BT1088	Primary SEDOL: B28XP76

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Sarah E. Beshar	Mgmt	For	Against
1.2	Elect Director Thomas M. Finke	Mgmt	For	For
1.3	Elect Director Martin L. Flanagan	Mgmt	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): State Street Global Advisors

Institution Account(s): SPDR S&P Capital Markets ETF

Invesco Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.4	Elect Director Edward P. Garden	Mgmt	For	For
1.5	Elect Director William (Bill) F. Glavin, Jr.	Mgmt	For	For
1.6	Elect Director C. Robert Henrikson	Mgmt	For	For
1.7	Elect Director Denis Kessler	Mgmt	For	For
1.8	Elect Director Nelson Peltz	Mgmt	For	For
1.9	Elect Director Nigel Sheinwald	Mgmt	For	For
1.10	Elect Director Paula C. Tolliver	Mgmt	For	For
1.11	Elect Director G. Richard Wagoner, Jr.	Mgmt	For	For
1.12	Elect Director Phoebe A. Wood	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For

The Charles Schwab Corporation

Meeting Date: 05/13/2021	Country: USA	Primary Security ID: 808513105
Record Date: 03/15/2021	Meeting Type: Annual	Ticker: SCHW
Primary CUSIP: 808513105	Primary ISIN: US8085131055	Primary SEDOL: 2779397

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Walter W. Bettinger, II	Mgmt	For	For
1b	Elect Director Joan T. Dea	Mgmt	For	Against
1c	Elect Director Christopher V. Dodds	Mgmt	For	For
1d	Elect Director Mark A. Goldfarb	Mgmt	For	Against
1e	Elect Director Bharat B. Masrani	Mgmt	For	Against
1f	Elect Director Charles A. Ruffel	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): State Street Global Advisors

Institution Account(s): SPDR S&P Capital Markets ETF

The Charles Schwab Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Report on Lobbying Payments and Policy	SH	Against	Against
5	Declassify the Board of Directors	SH	Against	For

Intercontinental Exchange, Inc.

Meeting Date: 05/14/2021

Country: USA

Primary Security ID: 45866F104

Record Date: 03/16/2021

Meeting Type: Annual

Ticker: ICE

Primary CUSIP: 45866F104

Primary ISIN: US45866F1049

Primary SEDOL: BFSSDS9

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Sharon Y. Bowen	Mgmt	For	For
1b	Elect Director Shantella E. Cooper	Mgmt	For	For
1c	Elect Director Charles R. Crisp	Mgmt	For	For
1d	Elect Director Duriya M. Farooqui	Mgmt	For	For
1e	Elect Director The Right Hon. the Lord Hague of Richmond	Mgmt	For	For
1f	Elect Director Mark F. Mulhern	Mgmt	For	For
1g	Elect Director Thomas E. Noonan	Mgmt	For	For
1h	Elect Director Frederic V. Salerno	Mgmt	For	Against
1i	Elect Director Caroline L. Silver	Mgmt	For	For
1j	Elect Director Jeffrey C. Sprecher	Mgmt	For	For
1k	Elect Director Judith A. Sprieser	Mgmt	For	For
1l	Elect Director Vincent Tese	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
4	Adopt Simple Majority Vote	SH	Against	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): State Street Global Advisors

Institution Account(s): SPDR S&P Capital Markets ETF

Morningstar, Inc.

Meeting Date: 05/14/2021

Country: USA

Primary Security ID: 617700109

Record Date: 03/15/2021

Meeting Type: Annual

Ticker: MORN

Primary CUSIP: 617700109

Primary ISIN: US6177001095

Primary SEDOL: B081VR8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Joe Mansueto	Mgmt	For	For
1b	Elect Director Kunal Kapoor	Mgmt	For	For
1c	Elect Director Robin Diamonte	Mgmt	For	For
1d	Elect Director Cheryl Francis	Mgmt	For	Against
1e	Elect Director Steve Joynt	Mgmt	For	For
1f	Elect Director Steve Kaplan	Mgmt	For	For
1g	Elect Director Gail Landis	Mgmt	For	For
1h	Elect Director Bill Lyons	Mgmt	For	For
1i	Elect Director Doniel Sutton	Mgmt	For	For
1j	Elect Director Caroline Tsay	Mgmt	For	For
2	Amend Omnibus Stock Plan	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Ratify KPMG LLP as Auditors	Mgmt	For	For

Virtus Investment Partners, Inc.

Meeting Date: 05/14/2021

Country: USA

Primary Security ID: 92828Q109

Record Date: 03/19/2021

Meeting Type: Annual

Ticker: VRTS

Primary CUSIP: 92828Q109

Primary ISIN: US92828Q1094

Primary SEDOL: B3KKGQ8

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Timothy A. Holt	Mgmt	For	For
1b	Elect Director Melody L. Jones	Mgmt	For	For
1c	Elect Director Stephen T. Zarrilli	Mgmt	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): State Street Global Advisors

Institution Account(s): SPDR S&P Capital Markets ETF

Virtus Investment Partners, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	For

State Street Corporation

Meeting Date: 05/19/2021 **Country:** USA **Primary Security ID:** 857477103
Record Date: 03/22/2021 **Meeting Type:** Annual **Ticker:** STT

Primary CUSIP: 857477103 **Primary ISIN:** US8574771031 **Primary SEDOL:** 2842040

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Patrick de Saint-Aignan	Mgmt	For	Do Not Vote
1b	Elect Director Marie A. Chandoha	Mgmt	For	Do Not Vote
1c	Elect Director Amelia C. Fawcett	Mgmt	For	Do Not Vote
1d	Elect Director William C. Freda	Mgmt	For	Do Not Vote
1e	Elect Director Sara Mathew	Mgmt	For	Do Not Vote
1f	Elect Director William L. Meaney	Mgmt	For	Do Not Vote
1g	Elect Director Ronald P. O'Hanley	Mgmt	For	Do Not Vote
1h	Elect Director Sean O'Sullivan	Mgmt	For	Do Not Vote
1i	Elect Director Julio A. Portalatin	Mgmt	For	Do Not Vote
1j	Elect Director John B. Rhea	Mgmt	For	Do Not Vote
1k	Elect Director Richard P. Sergel	Mgmt	For	Do Not Vote
1l	Elect Director Gregory L. Summe	Mgmt	For	Do Not Vote
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Do Not Vote

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): State Street Global Advisors

Institution Account(s): SPDR S&P Capital Markets ETF

State Street Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	Do Not Vote
4	Report on Racial Equity Audit	SH	Against	Do Not Vote

Morgan Stanley

Meeting Date: 05/20/2021	Country: USA	Primary Security ID: 617446448
Record Date: 03/22/2021	Meeting Type: Annual	Ticker: MS
Primary CUSIP: 617446448	Primary ISIN: US6174464486	Primary SEDOL: 2262314

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Elizabeth Corley	Mgmt	For	For
1b	Elect Director Alistair Darling	Mgmt	For	For
1c	Elect Director Thomas H. Glocer	Mgmt	For	For
1d	Elect Director James P. Gorman	Mgmt	For	For
1e	Elect Director Robert H. Herz	Mgmt	For	For
1f	Elect Director Nobuyuki Hirano	Mgmt	For	For
1g	Elect Director Hironori Kamezawa	Mgmt	For	For
1h	Elect Director Shelley B. Leibowitz	Mgmt	For	For
1i	Elect Director Stephen J. Luczo	Mgmt	For	For
1j	Elect Director Jami Miscik	Mgmt	For	For
1k	Elect Director Dennis M. Nally	Mgmt	For	For
1l	Elect Director Mary L. Schapiro	Mgmt	For	For
1m	Elect Director Perry M. Traquina	Mgmt	For	For
1n	Elect Director Rayford Wilkins, Jr.	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021
Location(s): State Street Global Advisors
Institution Account(s): SPDR S&P Capital Markets ETF

Piper Sandler Companies

Meeting Date: 05/21/2021 **Country:** USA **Primary Security ID:** 724078100
Record Date: 03/25/2021 **Meeting Type:** Annual **Ticker:** PIPR
Primary CUSIP: 724078100 **Primary ISIN:** US7240781002 **Primary SEDOL:** 2227089

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Chad R. Abraham	Mgmt	For	For
1b	Elect Director Jonathan J. Doyle	Mgmt	For	Against
1c	Elect Director William R. Fitzgerald	Mgmt	For	For
1d	Elect Director Victoria M. Holt	Mgmt	For	For
1e	Elect Director Thomas S. Schreier	Mgmt	For	For
1f	Elect Director Sherry M. Smith	Mgmt	For	For
1g	Elect Director Philip E. Soran	Mgmt	For	For
1h	Elect Director Brian R. Sterling	Mgmt	For	Against
1i	Elect Director Scott C. Taylor	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditor	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Abstain

Open Lending Corporation

Meeting Date: 05/25/2021 **Country:** USA **Primary Security ID:** 68373J104
Record Date: 04/05/2021 **Meeting Type:** Annual **Ticker:** LPRO
Primary CUSIP: 68373J104 **Primary ISIN:** US68373J1043 **Primary SEDOL:** BMTD3M6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Eric A. Feldstein	Mgmt	For	For
1.2	Elect Director Gene Yoon	Mgmt	For	For
1.3	Elect Director Brandon Van Buren	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021
Location(s): State Street Global Advisors
Institution Account(s): SPDR S&P Capital Markets ETF

BlackRock, Inc.

Meeting Date: 05/26/2021	Country: USA	Primary Security ID: 09247X101
Record Date: 03/29/2021	Meeting Type: Annual	Ticker: BLK
Primary CUSIP: 09247X101	Primary ISIN: US09247X1019	Primary SEDOL: 2494504

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Bader M. Alsaad	Mgmt	For	For
1b	Elect Director Pamela Daley	Mgmt	For	For
1c	Elect Director Jessica P. Einhorn	Mgmt	For	For
1d	Elect Director Laurence D. Fink	Mgmt	For	For
1e	Elect Director William E. Ford	Mgmt	For	For
1f	Elect Director Fabrizio Freda	Mgmt	For	For
1g	Elect Director Murry S. Gerber	Mgmt	For	For
1h	Elect Director Margaret 'Peggy' L. Johnson	Mgmt	For	For
1i	Elect Director Robert S. Kapito	Mgmt	For	For
1j	Elect Director Cheryl D. Mills	Mgmt	For	For
1k	Elect Director Gordon M. Nixon	Mgmt	For	For
1l	Elect Director Charles H. Robbins	Mgmt	For	For
1m	Elect Director Marco Antonio Slim Domit	Mgmt	For	Against
1n	Elect Director Hans E. Vestberg	Mgmt	For	For
1o	Elect Director Susan L. Wagner	Mgmt	For	For
1p	Elect Director Mark Wilson	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Deloitte LLP as Auditors	Mgmt	For	For
4a	Provide Right to Call Special Meeting	Mgmt	For	For
4b	Eliminate Supermajority Vote Requirement	Mgmt	For	For
4c	Amend Charter to Eliminate Provisions that are No Longer Applicable and Make Other Technical Revisions	Mgmt	For	For
5	Amend Certificate of Incorporation to Become a Public Benefit Corporation	SH	Against	Against

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021
Location(s): State Street Global Advisors
Institution Account(s): SPDR S&P Capital Markets ETF

Focus Financial Partners Inc.

Meeting Date: 05/26/2021 **Country:** USA **Primary Security ID:** 34417P100
Record Date: 03/29/2021 **Meeting Type:** Annual **Ticker:** FOCS
Primary CUSIP: 34417P100 **Primary ISIN:** US34417P1003 **Primary SEDOL:** BF7J7H0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Greg S. Morganroth	Mgmt	For	For
1.2	Elect Director Fayez S. Muhtadie	Mgmt	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

Stifel Financial Corp.

Meeting Date: 05/26/2021 **Country:** USA **Primary Security ID:** 860630102
Record Date: 03/29/2021 **Meeting Type:** Annual **Ticker:** SF
Primary CUSIP: 860630102 **Primary ISIN:** US8606301021 **Primary SEDOL:** 2849234

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Adam T. Berlew	Mgmt	For	For
1.2	Elect Director Kathleen Brown	Mgmt	For	For
1.3	Elect Director Michael W. Brown	Mgmt	For	For
1.4	Elect Director Robert E. Grady	Mgmt	For	For
1.5	Elect Director Ronald J. Kruszewski	Mgmt	For	For
1.6	Elect Director Daniel J. Ludeman	Mgmt	For	For
1.7	Elect Director Maura A. Markus	Mgmt	For	For
1.8	Elect Director David A. Peacock	Mgmt	For	For
1.9	Elect Director Thomas W. Weisel	Mgmt	For	For
1.10	Elect Director Michael J. Zimmerman	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For

Vote Summary Report

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Location(s): State Street Global Advisors
Institution Account(s): SPDR S&P Capital Markets ETF

B. Riley Financial, Inc.

Meeting Date: 05/27/2021 **Country:** USA **Primary Security ID:** 05580M108
Record Date: 04/12/2021 **Meeting Type:** Annual **Ticker:** RILY
Primary CUSIP: 05580M108 **Primary ISIN:** US05580M1080 **Primary SEDOL:** BSKS2D6

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Bryant R. Riley	Mgmt	For	For
1.2	Elect Director Thomas J. Kelleher	Mgmt	For	For
1.3	Elect Director Robert L. Antin	Mgmt	For	For
1.4	Elect Director Robert D'Agostino	Mgmt	For	For
1.5	Elect Director Randall E. Paulson	Mgmt	For	For
1.6	Elect Director Michael J. Sheldon	Mgmt	For	For
1.7	Elect Director Mimi K. Walters	Mgmt	For	For
1.8	Elect Director Mikel H. Williams	Mgmt	For	Against
2	Ratify Marcum LLP as Auditors	Mgmt	For	For
3	Approve Omnibus Stock Plan	Mgmt	For	Against

The Carlyle Group Inc.

Meeting Date: 06/01/2021 **Country:** USA **Primary Security ID:** 14316J108
Record Date: 04/05/2021 **Meeting Type:** Annual **Ticker:** CG
Primary CUSIP: 14316J108 **Primary ISIN:** US14316J1088 **Primary SEDOL:** BKRTG56

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Daniel A. D'Aniello	Mgmt	For	Withhold
1.2	Elect Director Peter J. Clare	Mgmt	For	Withhold
1.3	Elect Director Thomas S. Robertson	Mgmt	For	For
1.4	Elect Director William J. Shaw	Mgmt	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	Against

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021
Location(s): State Street Global Advisors
Institution Account(s): SPDR S&P Capital Markets ETF

The Carlyle Group Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Abstain
5	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year

Artisan Partners Asset Management Inc.

Meeting Date: 06/02/2021 **Country:** USA **Primary Security ID:** 04316A108
Record Date: 04/08/2021 **Meeting Type:** Annual **Ticker:** APAM
Primary CUSIP: 04316A108 **Primary ISIN:** US04316A1088 **Primary SEDOL:** B8FW545

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Jennifer A. Barbetta	Mgmt	For	For
1.2	Elect Director Matthew R. Barger	Mgmt	For	For
1.3	Elect Director Eric R. Colson	Mgmt	For	For
1.4	Elect Director Tench Coxie	Mgmt	For	For
1.5	Elect Director Stephanie G. DiMarco	Mgmt	For	For
1.6	Elect Director Jeffrey A. Joerres	Mgmt	For	For
1.7	Elect Director Andrew A. Ziegler	Mgmt	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditor	Mgmt	For	For

SEI Investments Company

Meeting Date: 06/02/2021 **Country:** USA **Primary Security ID:** 784117103
Record Date: 03/26/2021 **Meeting Type:** Annual **Ticker:** SEIC
Primary CUSIP: 784117103 **Primary ISIN:** US7841171033 **Primary SEDOL:** 2793610

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Carl A. Guarino	Mgmt	For	Against
1b	Elect Director Carmen V. Romeo	Mgmt	For	Against

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SEI Investments Company

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For

Moelis & Company

Meeting Date: 06/03/2021 **Country:** USA **Primary Security ID:** 60786M105
Record Date: 04/07/2021 **Meeting Type:** Annual **Ticker:** MC
Primary CUSIP: 60786M105 **Primary ISIN:** US60786M1053 **Primary SEDOL:** BLG38Q1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Kenneth Moelis	Mgmt	For	For
1.2	Elect Director Eric Cantor	Mgmt	For	Withhold
1.3	Elect Director John A. Allison, IV	Mgmt	For	For
1.4	Elect Director Yolonda Richardson	Mgmt	For	For
1.5	Elect Director Kenneth L. Shropshire	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

Virtu Financial, Inc.

Meeting Date: 06/03/2021 **Country:** USA **Primary Security ID:** 928254101
Record Date: 04/07/2021 **Meeting Type:** Annual **Ticker:** VIRT
Primary CUSIP: 928254101 **Primary ISIN:** US9282541013 **Primary SEDOL:** BWTWWD4

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Virginia Gambale	Mgmt	For	Withhold
1.2	Elect Director John D. Nixon	Mgmt	For	For
1.3	Elect Director David J. Urban	Mgmt	For	For

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Virtu Financial, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.4	Elect Director Michael T. Viola	Mgmt	For	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For

AssetMark Financial Holdings, Inc.

Meeting Date: 06/07/2021	Country: USA	Primary Security ID: 04546L106
Record Date: 04/26/2021	Meeting Type: Annual	Ticker: AMK
Primary CUSIP: 04546L106	Primary ISIN: US04546L1061	Primary SEDOL: BKF9D68

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Patricia Guinn	Mgmt	For	For
1.2	Elect Director Ying Sun	Mgmt	For	Withhold
1.3	Elect Director Joseph Velli	Mgmt	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For

Affiliated Managers Group, Inc.

Meeting Date: 06/09/2021	Country: USA	Primary Security ID: 008252108
Record Date: 04/12/2021	Meeting Type: Annual	Ticker: AMG
Primary CUSIP: 008252108	Primary ISIN: US0082521081	Primary SEDOL: 2127899

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Karen L. Alvingham	Mgmt	For	For
1b	Elect Director Tracy A. Atkinson	Mgmt	For	For
1c	Elect Director Dwight D. Churchill	Mgmt	For	For
1d	Elect Director Jay C. Horgen	Mgmt	For	For
1e	Elect Director Reuben Jeffery, III	Mgmt	For	For

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Location(s): State Street Global Advisors

Institution Account(s): SPDR S&P Capital Markets ETF

Affiliated Managers Group, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1f	Elect Director Felix V. Matos Rodriguez	Mgmt	For	For
1g	Elect Director Tracy P. Palandjian	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For

MarketAxess Holdings Inc.

Meeting Date: 06/09/2021	Country: USA	Primary Security ID: 57060D108
Record Date: 04/12/2021	Meeting Type: Annual	Ticker: MKTX
Primary CUSIP: 57060D108	Primary ISIN: US57060D1081	Primary SEDOL: B03Q9D0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Richard M. McVey	Mgmt	For	For
1b	Elect Director Nancy Altobello	Mgmt	For	For
1c	Elect Director Steven L. Begleiter	Mgmt	For	For
1d	Elect Director Stephen P. Casper	Mgmt	For	For
1e	Elect Director Jane Chwick	Mgmt	For	For
1f	Elect Director Christopher R. Concannon	Mgmt	For	For
1g	Elect Director William F. Cruger	Mgmt	For	For
1h	Elect Director Kourtney Gibson	Mgmt	For	For
1i	Elect Director Justin G. Gmelich	Mgmt	For	For
1j	Elect Director Richard G. Ketchum	Mgmt	For	For
1k	Elect Director Emily H. Portney	Mgmt	For	For
1l	Elect Director Richard L. Prager	Mgmt	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

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Nasdaq, Inc.

Meeting Date: 06/15/2021 **Country:** USA **Primary Security ID:** 631103108
Record Date: 04/19/2021 **Meeting Type:** Annual **Ticker:** NDAQ
Primary CUSIP: 631103108 **Primary ISIN:** US6311031081 **Primary SEDOL:** 2965107

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Melissa M. Arnoldi	Mgmt	For	For
1b	Elect Director Charlene T. Begley	Mgmt	For	For
1c	Elect Director Steven D. Black	Mgmt	For	For
1d	Elect Director Adena T. Friedman	Mgmt	For	For
1e	Elect Director Essa Kazim	Mgmt	For	For
1f	Elect Director Thomas A. Kloet	Mgmt	For	For
1g	Elect Director John D. Rainey	Mgmt	For	For
1h	Elect Director Michael R. Splinter	Mgmt	For	For
1i	Elect Director Jacob Wallenberg	Mgmt	For	Against
1j	Elect Director Alfred W. Zollar	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
4	Provide Right to Act by Written Consent	SH	Against	Against

Ares Management Corporation

Meeting Date: 06/16/2021 **Country:** USA **Primary Security ID:** 03990B101
Record Date: 04/19/2021 **Meeting Type:** Annual **Ticker:** ARES
Primary CUSIP: 03990B101 **Primary ISIN:** US03990B1017 **Primary SEDOL:** BF14BT1

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Michael J Arougheti	Mgmt	For	For
1b	Elect Director Antoinette Bush	Mgmt	For	For
1c	Elect Director Paul G. Joubert	Mgmt	For	For

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Ares Management Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1d	Elect Director R. Kipp deVeer	Mgmt	For	Against
1e	Elect Director David B. Kaplan	Mgmt	For	Against
1f	Elect Director Michael Lynton	Mgmt	For	Against
1g	Elect Director Judy D. Olian	Mgmt	For	For
1h	Elect Director Antony P. Ressler	Mgmt	For	Against
1i	Elect Director Bennett Rosenthal	Mgmt	For	Against
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For

Evercore Inc.

Meeting Date: 06/17/2021	Country: USA	Primary Security ID: 29977A105
Record Date: 04/23/2021	Meeting Type: Annual	Ticker: EVR
Primary CUSIP: 29977A105	Primary ISIN: US29977A1051	Primary SEDOL: B1BHXZ2

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Roger C. Altman	Mgmt	For	For
1.2	Elect Director Richard I. Beattie	Mgmt	For	For
1.3	Elect Director Pamela G. Carlton	Mgmt	For	For
1.4	Elect Director Ellen V. Futter	Mgmt	For	For
1.5	Elect Director Gail B. Harris	Mgmt	For	For
1.6	Elect Director Robert B. Millard	Mgmt	For	For
1.7	Elect Director Willard J. Overlock, Jr.	Mgmt	For	For
1.8	Elect Director Simon M. Robertson	Mgmt	For	For
1.9	Elect Director Ralph L. Schlosstein	Mgmt	For	For
1.10	Elect Director John S. Weinberg	Mgmt	For	For
1.11	Elect Director William J. Wheeler	Mgmt	For	For
1.12	Elect Director Sarah K. Williamson	Mgmt	For	For
1.13	Elect Director Kendrick R. Wilson, III	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For

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Evercore Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For

WisdomTree Investments, Inc.

Meeting Date: 06/17/2021	Country: USA	Primary Security ID: 97717P104
Record Date: 04/23/2021	Meeting Type: Annual	Ticker: WETF
Primary CUSIP: 97717P104	Primary ISIN: US97717P1049	Primary SEDOL: 2476513

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Anthony Bossone	Mgmt	For	For
1b	Elect Director Smita Conjeevaram	Mgmt	For	For
1c	Elect Director Bruce Lavine	Mgmt	For	Against
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against

Brightsphere Investment Group Inc.

Meeting Date: 06/23/2021	Country: USA	Primary Security ID: 10948W103
Record Date: 04/27/2021	Meeting Type: Annual	Ticker: BSIG
Primary CUSIP: 10948W103	Primary ISIN: US10948W1036	Primary SEDOL: BJBLBN4

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Robert J. Chersi	Mgmt	For	For
1.2	Elect Director Andrew Kim	Mgmt	For	For
1.3	Elect Director John A. Paulson	Mgmt	For	For
1.4	Elect Director Barbara Trebbi	Mgmt	For	For
1.5	Elect Director Suren Rana	Mgmt	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For

Vote Summary Report

Reporting Period: 07/01/2020 to 06/30/2021

Location(s): State Street Global Advisors

Institution Account(s): SPDR S&P Capital Markets ETF

Brightsphere Investment Group Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year

Cowen Inc.

Meeting Date: 06/24/2021

Country: USA

Primary Security ID: 223622606

Record Date: 05/14/2021

Meeting Type: Annual

Ticker: COWN

Primary CUSIP: 223622606

Primary ISIN: US2236226062

Primary SEDOL: BZ1J497

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Brett H. Barth	Mgmt	For	For
1.2	Elect Director Katherine E. Dietze	Mgmt	For	For
1.3	Elect Director Gregg A. Gonsalves	Mgmt	For	For
1.4	Elect Director Steven Kotler	Mgmt	For	For
1.5	Elect Director Lawrence E. Leibowitz	Mgmt	For	For
1.6	Elect Director Margaret L. Poster	Mgmt	For	For
1.7	Elect Director Douglas A. Rediker	Mgmt	For	For
1.8	Elect Director Jeffrey M. Solomon	Mgmt	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against
3	Ratify KPMG LLP as Auditors	Mgmt	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	Against
5	Provide Right to Act by Written Consent	SH	Against	For