

SPDR[®] FTSE[®] Greater China ETF

3073

Fact Sheet
Equity

As of 12/31/2020

Important Information

- The objective of the SPDR[®] FTSE[®] Greater China ETF (the "Fund") is to provide investment returns, before fees and expenses, that closely correspond to the performance of the FTSE[®] Greater China HKD Index (the "Index").
- Investment involves risk. The Fund is not "actively managed", therefore when there is a decline in the Underlying Index, the Fund will also decrease in value. The Manager will not adopt any temporary defensive position against any market downturn.
- Generally, retail investors can only buy or sell Units on Stock Exchange of Hong Kong Limited (the "SEHK"). The trading prices of the Units on SEHK are driven by market factors such as demand and supply of the Units. Therefore, the Units may trade at a substantial premium/discount to its Net Asset Value.
- The Fund invests a significant portion of its assets in stocks with heavy exposure to China, which involve a greater risk of loss than investing in more developed markets due to, among other factors, greater political, tax, economic, foreign exchange, liquidity and regulatory risks. The concentration of investments in China may result in greater volatility and less liquidity. Investment in these markets involve higher transaction and custody costs.
- The investments of the Fund may be concentrated in securities of a single or several issuers, an industry or group of industries, or in a particular jurisdiction or market. Changes in the financial condition of an issuer, or changes in specific or general economic or political conditions that affect such issuer, industry, jurisdiction or market may adversely affect the value of securities resulting in price volatility and a negative impact on the securities held by the Fund.
- The Fund may not be suitable for all investors and investors may lose part or all of your investment.
- Investors should not invest based on this document only. Investors should read the Fund's prospectus including the risk factors, consider the relevant product features, their own investment objectives, risk tolerance level and other circumstances and seek independent financial and professional advice as appropriate before making any investment decision.

Fund Objective

The investment objective of the Fund is to provide investment returns, before fees and expenses, that closely correspond to the performance of the FTSE[®] Greater China HKD Index. The Manager intends to primarily adopt a replication strategy to track the performance of the Index by directly investing in substantially all the securities constituting the Index in substantially the same weightings (i.e. proportions) as these securities have in the Index.

Fund Information

SEHK Stock Code	3073
Inception Date	09/15/2010
SEHK Listing Date	09/20/2010

Index Description

The FTSE[®] Greater China HKD Index was launched on 24 May 2010, comprising of stocks listed in Hong Kong, Taiwan, Shanghai (B shares), Shenzhen (B shares) and Singapore, providing coverage for the Greater China Region. Shanghai (A shares) and Shenzhen (A shares) constituent stocks were added to the index on 23 March 2020.

Key Features

- Tradability
- Cost efficiency
- Transparency
- Diversification

Performance

Cumulative Return	Fund (%)	Index (%)
YTD	21.64	22.45
1 Month	4.42	4.50
3 Months	14.78	14.97
6 Months	25.24	25.77
Annualized Return		
1 Year	21.64	22.45
3 Years	8.47	9.01
5 Years	13.58	14.13
Since Inception (09/15/2010)	7.83	8.35
Calendar Year Return		
2019	19.69	20.24
2018	-12.35	-12.02
2017	39.65	40.29
2016	6.10	6.59
2015	-7.49	-7.13
2014	6.88	7.18
2013	6.70	7.23
2012	22.03	22.56
2011	-19.20	-18.58
2010	10.49	10.68

Fund performance is calculated on a net-of-fees return basis in HKD terms on NAV-to-NAV basis, with all dividends reinvested.

The Index performances are calculated on a total return basis in HKD which assumes dividends are reinvested. All performances after one year are annualized. The Index was rebased on 19 September 2003 and calculated using actual figures from the FTSE[®] Greater China Index. All information in relation to the Index is provided by FTSE.

Index returns do not represent actual ETF performance and are for illustration purposes only. Index performance does not reflect tracking error, charges and expenses associated with the Fund, or brokerage commissions associated with buying and selling exchange traded funds. It is not possible to invest directly in an index. Past performance information presented is not indicative of future performance and investors may not get back the full amount invested.

Calendar Performance represents the annual performance for each calendar year, except for 2010 for which the figure represents performance since inception on 15 September 2010 to 31 December 2010.

Performance returns for periods of less than one year are not annualized.

Geographic Weights

	Weight (%)
China	49.28
P Chip	23.12
H Share	13.10
A Share	8.83
Red Chip	3.88
B Share	0.35
Hong Kong	26.29
Taiwan	24.12

Top Sectors

	Weight (%)
Technology	28.01
Financials	24.15
Consumer Services	17.92
Consumer Goods	9.87
Industrials	7.65
Health Care	3.62
Basic Materials	3.06
Utilities	2.18
Oil & Gas	1.67
Telecommunications	1.56

Key Facts

Stock Code	3073
ISIN	HK0000068749
Exchange	The Stock Exchange of Hong Kong Limited
Fund Manager	State Street Global Advisors Asia Limited
Trustee	State Street Trust (HK) Limited
Underlying Index	FTSE [®] Greater China HKD Index
Trading Lot Size	200 Units
Base Currency	HKD
Ongoing Charges Over a Year ¹	0.48%
Dividend Policy	Semi-annually at the Manager's discretion
Minimum Creation / Redemption Unit	100,000 Units (or whole multiples thereof)

Fund Characteristics

Number of Holdings	843
Rolling 1-Year Tracking Error [*]	0.158%

^{*}Annualized based on the number of dealing days in the past year when daily Tracking Difference is calculated.

Index Characteristics

Index Net Market Capitalization	HKD \$33.32 trillion
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Top 10 Holdings

Company	Location	Sector	Weight (%)
Taiwan Semiconductor Manufacturing	Taiwan	Technology	10.59
Alibaba Group Holding (P Chip)	Hong Kong	Consumer Services	9.89
Tencent Holdings (P Chip)	China	Technology	9.74
AIA Group Ltd.	Hong Kong	Financials	3.47
Meituan Dianping (P Chip)	China	Consumer Services	3.19
JD.com (P Chip)	Hong Kong	Consumer Services	1.82
Ping An Insurance (H)	China	Financials	1.71
China Construction Bank (H)	China	Financials	1.66
Hong Kong Exchanges & Clearing	Hong Kong	Financials	1.63
Xiaomi (P Chip)	China	Technology	1.32

Geographical weights, top sectors, characteristics and top 10 holdings shown are as of the date indicated above and are subject to change. This information should not be considered a recommendation to invest in a particular geographical location, sector or to buy or sell any security shown. It is not known that whether the geographical locations, sectors or securities shown will be profitable in the future. The holdings are taken from the accounting records of SSGA which may differ from the official books and records of the custodian. The stock weighting is a percentage number calculated based on its market value over the total market value of the fund's stock and cash holdings.

Contact Us

For more information about our ETFs or how to invest, please visit www.ssga.com² or call customer hotline (852) 2103 0100.

Endnotes

- The ongoing charges figure is based on the expenses for the financial year ended 30 September 2019. This figure may vary from year to year. The ongoing charges figure is calculated by adding the applicable charges and payments deducted from the assets of the Greater China ETF and then dividing by the Greater China ETF's average net asset value for the financial year. During the financial year ended 30 September 2019, the Manager intended to maintain the ongoing charges figure to be no more than 0.48% and therefore reimbursed certain expenses of the Greater China ETF.
- This website has not been reviewed by the Securities and Futures Commission of Hong Kong (the "SFC").

ssga.com/etfs

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