

Reinventing Investing

Q2 2021

For four decades, State Street Global Advisors has believed that investing responsibly enables economic prosperity and social progress. Our clients are the world's governments, institutions and financial advisors — and together we partner to solve some of the world's most pressing investment challenges.

We Start with Rigor

We take a highly disciplined and risk-aware approach built on exhaustive research, careful analysis and market-tested experience to meet client needs. Rigor is behind every decision we make.

More than 500 investment professionals worldwide

24-hour global trading desk¹ capabilities that deliver real time execution in 80+ markets

Risk management processes that employ three lines of defense, a global risk team and timely risk monitoring and response to safeguard client assets in any potential market events

Data and analytics that deliver new insights into risk management and investment strategy

We Build from Breadth

Today's investment problems demand a breadth of capabilities. We build from a universe of active and index strategies to create cost-effective solutions.

\$3.90 trillion in Equity, Fixed Income & Cash, Multi-Asset, and Alternatives⁴

A leader in Environmental, Social and Governance (ESG) investing, Defined Benefit and Defined Contribution solutions, and Outsourced CIO and Alternatives program management

We Invest as Stewards

We help our portfolio companies see that what is fair for people and sustainable for the planet can deliver long-term performance. As fiduciaries, we believe good stewardship is good investing.

Asset Stewardship group dedicated to enhancing long-term shareholder value and improving board effectiveness at portfolio companies.

Commissioned Fearless Girl[®] statue to highlight call for greater board gender diversity; identified 1,486 companies around the globe with no women on their boards to take action. 862 of those companies have added a at least one female director to their board.²

Voted in support of nearly 83% of Two-Degree Scenario shareholder proposals since 2016. In 2019, we held over 1,900 engagements with companies in our global portfolio on ESG issues.³

We Invent the Future

We are the creators of the world's first ETFs and pioneers in index, active, and ESG investing.⁵ Using data, insights and investment skill, we are always inventing new ways to invest.

Launched our first index fund in 1978 and today track over 900 indexes from leading providers as well as many custom indexes

Unveiled our first active quantitative strategy in 1984, helping alpha seeking investors manage risk in a systematic way

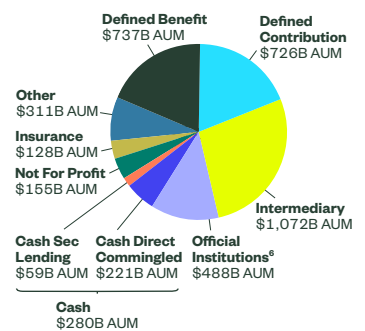
Partnered with American Stock Exchange to create the first US ETF and most liquid and heavily-traded security in the world

Created the first ETF in Hong Kong to solve a complex market issue in the region

Introduced the gender diversity index and the gender diversity ETF, winner of Inside ETFs People's Choice Awards for Best New ETF in 2017

USD \$3.90 Trillion in Assets Under Management⁴

Clients by AUM



At A Glance

Fourth largest asset manager in the world⁷

30 global offices

10 investment centers⁸

24-hour global trading capability¹

2300+ clients⁸

23 million DC participants⁹

60 countries with clients⁸

Part of State Street Corporation, where 10%+ of the world's financial assets are entrusted (as of 2019)¹⁰

Industry Recognition¹¹

#1 asset manager of government retirement plans, endowment & foundations, sovereign wealth funds, and index global/non-US bond assets

#2 defined benefit, defined contribution investment only (DCIO), and defined contribution provider in the US

#3 ETF provider, index manager, and central bank and index US bond asset manager

- 1 During regional market hours.
- 2 State Street Global Advisors Asset Stewardship Team February 2021.
- 3 Support is defined as an "Abstain" or a "For" vote. The engagements reflect the 726 comprehensive calls and in-person meetings, 1,087 engagements through letter writing campaigns, and 137 R-Factor™ engagements.
- 4 This figure is presented as of June 30, 2021 and includes approximately \$63.59 billion of assets with respect to SPDR products for which State Street Global Advisors Funds Distributors, LLC (SSGA FD) acts solely as the marketing agent. SSGA FD and State Street Global Advisors are affiliated.
- 5 ETFs managed by State Street Global Advisors have the oldest inception dates within the US, Hong Kong, Australia, and Singapore. State Street Global Advisors launched the first ETF in the US on January 22, 1993; launched the first ETF in Hong Kong on November 11, 1999; launched the first ETF in Australia on August 24, 2001; and launched the first ETF in Singapore on April 11, 2002.
- 6 Official Institutions is a client type that includes all plan type assets including DB and DC.
- 7 Pensions & Investments Research Center, as of 12/31/2020.
- 8 As of June 30, 2021.
- 9 As of December 31, 2020.
- 10 Represents State Street AUC/A over estimated Global Financial Assets, including Global Equity, Global Debt Securities and Global Deposits (estimated as M1+M2+M3 Money Supply), as of December 31, 2019. Sources: Bank of International Settlements, SIFMA, World Bank.
- 11 Pensions & Investments Research Center, as of 12/31/2020.

ssga.com

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State Street Global Advisors Worldwide Entities

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