

Before applying for units please read the current Product Disclosure Statement (PDS) and Information Booklet available at ssga.com. We will not process your application until we have received this completed Application Form, Client Identification Form(s) and the necessary certified identification documents.

Please complete the form in black ink using CAPITAL letters and mark appropriate boxes with a cross (X). Certain fields (such as Occupation and Source of funds) must be completed in order to comply with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006.

If you have any questions on how to complete this form please call our State Street Client Services team on **1300 382 689**.

The Responsible Entity for the State Street Active Funds is State Street Global Advisors, Australia Services Limited (SSGA ASL), ABN 16 108 671 441.

Please Complete the Following Steps to Apply for Units

- Complete and sign this Application Form.
- Complete the Client Identification Form(s) (not required for existing investors unless details have changed).
- Attach original certified copies of identification documents (See the last page of this form for instructions on how to certify documents).
- Attach your cheque or transfer your application monies in accordance with section 11.
- Post the completed original Application Form, Client Identification Form(s) and Certified Identification documents to:

State Street Unit Registry
GPO Box 804
Melbourne VIC 3001
Australia

A fax copy will not be accepted.

Existing Investors

- Please cross (X) here if you are an existing investor in State Street Australia's Investment Funds. If yes, please fill out your investor details below and then go to section 8.

Existing Investor Number

Investor Name

If any of your details have changed please complete the relevant sections for your investor type.

1. Investor Type

Please select using an 'X' the type of investor account you wish to open. You will also need to complete the relevant Client Identification Form(s) available from our website at ssga.com. Please follow instructions on the Client Identification Form for guidance on supporting documentation (eg. driver's licence or company extract) that must be provided in addition to the form for your investor type.

Investor Type	Sections to Complete	Client Identification Form to Complete
<input type="checkbox"/> Individual , which includes: <ul style="list-style-type: none"> • Joint investors • Sole Traders 	Section 2 and then 5 onwards	Individual & Sole Trader Identification Form
<input type="checkbox"/> Company , which includes: <ul style="list-style-type: none"> • Wraps • Australian company • Foreign company 	Section 3A and then 5 onwards	Australian Company or Foreign Company Identification Form
<input type="checkbox"/> Custodian* , opening account on behalf of: <ul style="list-style-type: none"> • Another entity 	Section 3A and 3B and 5 onwards	Australian Company or Foreign Company Identification Form
<input type="checkbox"/> Trust , which includes: <ul style="list-style-type: none"> • Superannuation Funds • SMSF • Unregulated Trust • Registered Managed Investment Scheme 	With individual trustee(s) — section 2 and then 4 onwards With corporate trustee — section 3A and then 4 onwards	Australian Regulated Trust or Unregulated Trust & Foreign Trust Identification Form. For unregulated trusts with a corporate trustee you must also complete a Company Identification Form for the Company.
<input type="checkbox"/> Partnership	Section 3A and then 5 onwards	Partnership Identification Form
<input type="checkbox"/> Association	Section 3A and then 5 onwards	Association Identification Form
<input type="checkbox"/> Registered Co-operative	Section 3A and then 5 onwards	Registered Co-operative Identification Form
<input type="checkbox"/> Government Body	Section 3A and then 5 onwards	Government Body Identification Form

*Please note if you are a custodian opening an account on behalf of another entity, you are required to complete the custodian section 3A for your entity as well as section 3B for your client's entity you are acting on behalf of.

2. Individual/Individual Trustee(s)/Sole Trader

Individual 1/Trustee 1

Title

Surname

Given Name(s)

Country of tax residence, if not Australia:

Tax File Number (or state reason for exemption)

It is not an offence to not provide your Tax File Number (TFN). However, we are required to withhold tax at the highest marginal tax rate if a TFN is not provided. If exempt please supply supporting documentation.

Occupation/Business

Employer Name

Individual 2 (for joint investors)/Trustee 2

Title

Surname

Given Name(s)

Country of tax residence, if not Australia:

Tax File Number (or state reason for exemption)

It is not an offence to not provide your Tax File Number (TFN). However, we are required to withhold tax at the highest marginal tax rate if a TFN is not provided. If exempt please supply supporting documentation.

Occupation/Business

Employer Name

3A. Company/Corporate Trustee/Partnership/Association/Government Body/ Co-operative/Custodians*

Full Legal Name

Tax File Number
(or state reason for exemption)

Country of tax residence,
if not Australia:

ABN/ACN/ARBN

Are you a charity? Yes No

Nature of business activity

*Custodians of managed investment schemes, superannuation funds, investor directed portfolio service (IDPS) like schemes or managed discretionary account services should complete Section 3A with their own details, as well as completing Section 3B to provide details of the entity on whose behalf you are acting as agent.

3B. Custodian of an Investment Vehicle or a Platform (if applicable)

Full Legal Name

ABN/ACN/ARBN

Registered Office Address (PO Box not acceptable):

Licence Number (e.g. RSE, AFSL)

Investment Platform Name:

Investment Platform Type: Registered MIS Super Fund IDPS

Other (please specify)

Registration Details (e.g. ARSN, Super Fund Reg. No.)

4. Trust/Superannuation Fund

Full Trust/Superannuation Fund Name (eg. ABC Superannuation Fund)

Type of Trust: SMSF Government Superannuation Fund Registered Managed Investment Scheme Other (please specify)

Tax File Number
(or state reason for exemption)

Country of tax residence,
if not Australia:

ABN/ARSN

Nature of trust activity

Are you a charity? Yes No

5. Investor Contact Details

Individual applicant(s) are required to supply a residential address. Business applicants are required to supply the registered office address or principal place of business address, if different. We will provide disclosure documents and periodic statements to the investor.

Residential or registered office address/Principal place of business (PO Box will NOT be accepted)

Street address

Suburb State

Postcode Country

Phone (Home) Business

Mobile Fax

Email address (required)*

*You agree through the provision of your email address that we may use this address to provide transaction statements and confirmations, and other material about your investment such as new PDSs for the Fund. We may still need to send you letters via post from time to time. You will be given access to an online portal to view your investment (s) and transaction activity. Once your account is set up you will receive a welcome email.

Address for Correspondence (if different from the address above)

Street address

Suburb State

Postcode Country

A copy of the annual financial report will be made available on our website ssga.com each year. If you also wish to receive an electronic copy place an 'X' in the appropriate box below. If you would like to receive a hard copy please call 1300 382 689.

I would like to receive an electronic copy of the annual financial report by email.

6. Adviser Contact Details (Optional)

Complete this section if you wish to appoint a financial adviser. By doing so you consent to your financial adviser being given access to your information, including online access to view your investment and transaction activity, as well as allowing the adviser to make enquiries on your behalf. We will use the adviser's email address to send transaction statements, new PDSs and other relevant communication. The adviser will not be allowed to transact on your account.

Adviser Name Adviser Authorised Representative No. (If Applicable)

Adviser Firm Name

Mailing Address

Suburb State

Postcode Country

Phone

Mobile Fax

Adviser's Email (required)

Dealer Group Name Dealer Group AFSL No.

Dealer Group Stamp (If Applicable)

7. Banking Details

The nominated bank account(s) must be in the name of the investor and with an Australian Authorised Deposit Taking Institution. If an investor is a trustee, the bank account name should include the name of the trustee. Payments to you will be made electronically by direct credit. Cheques will not be issued for distributions or withdrawal payments. Third party payments will not be allowed.

Redemption and Distribution bank account details (mandatory)

Name of Australian financial institution

Branch Name

Full account name

BSB Account Number

8. Distributions

Please put an 'X' in the appropriate box below to indicate how you wish to receive distribution payments. **If you do not select an option, distributions will automatically be reinvested in additional units in the relevant fund.**

- Automatic reinvestment as additional units
- Electronic payment into the nominated Australian bank account detailed in section 7

9. Investment Amount (Minimum initial investment \$25,000)

Fund Name	APIR Code/ISIN	Fund Code	Amount to be Invested
State Street Australian Equity Fund	SST0048AU/AU60SST00480	RBZZ	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
State Street Floating Rate Fund	SST4725AU/AU60SST47259	QSYA	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
State Street Global Equity Fund	SST0050AU/AU60SST00506	Q3AS	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
State Street Multi-Asset Builder Fund	SST0052AU/AU60SST00522	7F60	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Total			<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

10. Source of Funds —

Please indicate with an 'X' in the box below the source of funds for making this investment. We are collecting this information to comply with regulatory obligations.

Investor 1

- Savings Inheritance Gift Redundancy Superannuation savings Sale of asset
- Business activity Other (Please provide details)

Investor 2 (If Applicable)

- Savings Inheritance Gift Redundancy Superannuation savings Sale of asset
- Business activity Other (Please provide details)

11. Payment Instructions

Please put an 'X' in the appropriate box below to indicate how your application payment will be made:

- Cheque** Make your cheque payable to State Street Global Advisors Applications Account and crossed 'Not Negotiable'
- Electronic Funds Transfer** Please transfer funds to:
- | | |
|-----------------------|---|
| Account name | State Street Global Advisors Applications Account |
| BSB | 083-001 |
| Account number | 80-611-4250 |
| Your Reference | Please insert your investor name |
- BPAY® Details** You can make your payment using telephone or internet banking.
You will need to quote the biller code and your customer reference number when making this payment.
If this is a new investment, we will notify you of your customer reference number once we receive your application form.
Please make your payment within 14 days of this notification.



Biller Code: See Table Below
Ref: Customer Reference Number

Biller Code:

State Street Australian Equity Fund	264366
State Street Floating Rate Fund	270181
State Street Global Equity Fund	264374
State Street Multi-Asset Builder Fund	264382

12. Applying Under A Power of Attorney (If Applicable)

If you are completing this Application Form under a Power of Attorney please complete the details below and provide an original certified copy of the Power of Attorney.
Please mark an 'X' against the type of agent you are:

- Individual (complete the Client Identification Form for Individuals)
 Corporate (complete the Client Identification Form for Company)

By completing this section you confirm the Power of Attorney remains current and has not been revoked.

Relationship with investor

Surname

Given Name(s)

Date of Birth

Mailing address

Suburb State

Postcode Country

Country of residence for tax purposes if not Australia

Phone

Mobile Fax

Email

13. Declaration and Signature

By completing this Application Form, I/We:

- i. Confirm that I/we have read and understood the PDS(s) and Additional Information Booklet before applying for units in the Fund(s);
- ii. Agree to be bound by the Constitution of the Funds as amended from time to time;
- iii. Declare that I/we have the capacity and power to make an investment and hold units in the Fund(s).
- iv. Acknowledge that:
 - a. I/we are over the age of 18 years.
 - b. Investments in the Funds are not a deposit, or other liability of any company in the State Street Corporation group of companies (including State Street Bank and Trust Company (SSBT) and SSGA ASL).
 - c. Investments in the Funds are subject to investment risk, including possible delays in repayment and loss of income and principal invested, and no member of the State Street Corporation group of companies (including SSGA ASL) or their related entities, directors or officers guarantee the performance of the Funds, the repayment of capital from the Funds or any particular rate of return.
 - d. If resident in a jurisdiction other than Australia, represent and warrant that I/we may invest in the Funds without the offer contained in the current PDS and Information Booklet being registered with or regulated by the regulator of that jurisdiction.
 - e. The email address provided in this Application Form may be used for all types of communication between SSGA ASL and the unit holder (including transaction statements);
 - f. If any information provided by me/us in this Application Form, Client Identification Form(s) or identification documents changes I/we will inform SSGA ASL immediately.
 - g. SSGA ASL reserves the right to refuse an application for units at its discretion.
 - h. SSGA ASL has the authority to deduct tax from distributions if required under applicable tax law.
 - i. I/we have read the Privacy Policy referred to in the Information Booklet and consent to my/our personal information being used in the manner described in the Privacy Policy as amended from time to time.
 - j. If purchasing through an adviser, I/we authorise SSGA ASL to disclose to the adviser noted on this Application Form, information relating to my/our application for investment into the State Street Investment Funds to which this application applies or any subsequent information relating to my/our investment (excluding disclosure of my/our Tax File Number/s or any information in relation to it/them). This authority will continue unless revoked in writing by me/us.
 - k. Distribution and redemption payments will be paid electronically by direct credit. SSGA ASL will not make payments by cheque.
 - l. If an email address of an adviser has been provided, I/we consent for that email address to be used for communication purposes including for transaction statements and PDSs.
 - m. The processing of my/our application may be delayed while SSGA ASL verifies and considers information, even if all information requested on this application form has been provided and received by SSGA ASL prior to the cut-off time for receiving instructions, my/our application may be processed at the unit price applicable for the business day as at which all information has been received and verified.
 - n. If my/our investment becomes an account in respect of account holders who are resident for tax purposes outside of Australia, as SSGA ASL reasonably determines and for the purposes of the US Foreign Account Tax Compliance Act (FATCA) or OECD Common Reporting Standard (CRS), I/we will promptly inform SSGA ASL and provide on request any information which is necessary for SSGA ASL to comply with any obligations it may have in connection with the U.S FATCA/ OECD CRS.
- v. Confirm that all the information I/we have provided on this Application Form and Client Identification Form(s) is true and correct at the time of completing, and I/We were physically in Australia at the time of completing the Application Form.
- vi. Confirm that if I/we are applying under a Power of Attorney the Power of Attorney has not been revoked and I/we will indemnify SSGA, SSGA ASL, SSBT and State Street Australia Limited from and against all losses, liabilities, actions and claims arising from instructions received from my/our attorney, whether or not the attorney was acting as authorised.

All individual trustees must sign the form.

Where the investment is in more than one name, all investors must sign.

A company must execute by signature of a director and secretary or two directors or in the case of a sole director company, by that director. Alternatively, if the form is completed by an agent of the investor, please attach an original certified copy of the Power of Attorney.

Signature of Applicants (Please provide full name)	Title (eg. Trustee/Director/Secretary/Individual/Power of Attorney)	Date
1. Signature Name		
2. Signature Name		
3. Signature Name		
4. Signature Name		

The Certification Process: How to Certify

Background: All identification documents must be certified copies — we do not accept originals and we cannot accept a faxed, photocopied or scanned copy of the certified copy (i.e. only copies with the original ink signature of the certifier will be accepted).

An original certified copy of an identification document is a copy of an acceptable identification document that has been certified as a true copy of an original document. To obtain this properly, present the original document and a photocopy of that document to one of the eligible certifiers listed below who is not related to you (e.g. spouse, parent, de facto partner). This person must sight the original and the copy and ensure both documents are identical. Individuals are not permitted to certify their own documents.

Please note, documents must be either certified on all pages, or certified on the front page with a clear reference to the number of subsequent pages that are included.

The certification must include the following details:

'I certify that this is a true and correct copy of the original document' written/stamped on the document;

Printed name, date and signature of certifier in original ink

Occupation, qualification or registration number of certifier;

If this certification does not appear, you may be asked to send in new certified documents. Your application will not be processed until we receive the certified documents.

Who Can Certify?

A **certified copy** means a document that has been certified as a true copy of an original document by one of the following persons:

1. a person who, under a law in force in a State or Territory, is currently licensed or registered to practise in an occupation listed in Part 1 of Schedule 2 of the *Statutory Declarations Regulations 2018*;
2. a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner;
3. a person listed in Part 2 of Schedule 2 of the *Statutory Declarations Regulations 2018*;
4. an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years of continuous service with one or more licensees.
5. an officer with, or a credit representative of, a holder of an Australian credit licence, having 2 or more years of continuous service with one or more licensees;
6. a person authorised as a notary public in a foreign country.

Occupations as listed in Part 1 of Schedule 2 of the *Statutory Declarations Regulations 2018*:

- Architect
- Chiropractor
- Dentist
- Financial adviser or financial planner
- Legal practitioner
- Medical practitioner
- Midwife
- Migration agent registered under Division 3 of Part 3 of the *Migration Act 1958*
- Nurse
- Occupational therapist
- Optometrist
- Patent attorney
- Pharmacist
- Physiotherapist
- Psychologist
- Trade marks attorney
- Veterinary surgeon

Other persons as listed in Part 2 of Schedule 2 of the *Statutory Declarations Regulations 2018*

- Accountant who is:
 - a. a fellow of the National Tax Accountants' Association; or
 - b. a member of any of the following:
 - i. Chartered Accountants Australia and New Zealand;
 - ii. The Association of Taxation and Management Accountants;
 - iii. CPA Australia;
 - iv. The Institute of Public Accountants
- Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- APS employee engaged on an ongoing basis with 2 or more years of continuous service who is not specified in another item of this Part.
- Australian Consular Officer or Australian Diplomatic Officer (within the meaning of the *Consular Fees Act 1955*)
- Bailiff
- Bank officer with 2 or more continuous years of service
- Building society officer with 2 or more years of continuous service
- Chief executive officer of a Commonwealth court
- Clerk of a court

Application Form

- Commissioner for Affidavits
- Commissioner for Declarations
- Credit union officer with 2 or more years of continuous service
- Employee of a Commonwealth authority engaged on a permanent basis with 2 or more years of continuous service who is not specified in another item
- Employee of the Australian Trade Commission who is:
 - a. in a country or place outside Australia; and
 - b. authorised under paragraph 3 (d) of the *Consular Fees Act 1955*; and
 - c. exercising his or her function in that place
- Employee of the Commonwealth who is:
 - a. in a country or place outside Australia; and
 - b. authorised under paragraph 3 (c) of the *Consular Fees Act 1955*; and
 - c. exercising his or her function in that place
- Engineer who is:
 - a. A member of Engineers Australia, other than at the grade of student; or
 - b. A registered Professional Engineer of Professionals Australia; or
 - c. Registered as an engineer under a law of the Commonwealth, a State or Territory; or
 - d. Registered on the National Engineering Register by Engineers Australia
- Finance company officer with 2 or more years of continuous service
- Holder of a statutory office not specified in another item
- Judge
- Justice of the Peace
- Magistrate
- Marriage celebrant registered under Subdivision C of Division 1 of Part IV of the *Marriage Act 1961*
- Master of a court
- Member of the Australian Defence Force who is:
 - a. an officer; or
 - b. a non-commissioned officer within the meaning of the *Defence Force Discipline Act 1982* with 2 or more years of continuous service; or
 - c. a warrant officer within the meaning of that Act
- Member of the Australasian Institute of Mining and Metallurgy
- Member of the Governance Institute of Australia Ltd
- Member of:
 - a. the Parliament of the Commonwealth; or
 - b. the Parliament of a State; or
 - c. a Territory legislature; or
 - d. a local government authority
- Minister of religion registered under Subdivision A of Division 1 of Part IV of the *Marriage Act 1961*
- Notary public, including a notary public (however described) exercising functions at a place outside:
 - a. The Commonwealth; and
 - b. The external Territories of the Commonwealth
- Permanent employee of the Australian Postal Corporation with 2 or more years of Continuous service who is employed in an office supplying postal services to the public
- Permanent employee of:
 - (a) a State or Territory or a State or Territory authority; or
 - (b) a local government authority;with 2 or more years of continuous service who is not specified in another item in this Part
- Police officer
- Registrar, or Deputy Registrar, of a court
- Senior Executive employee of a Commonwealth authority
- Senior Executive employee a State or Territory or a State or Territory authority
- SES employee of the Commonwealth
- Sheriff
- Sheriff's officer
- Teacher employed on a full-time basis at a school or tertiary education institution

Important Notes

Any identification documents that are in a foreign language must be accompanied by an English translation from an accredited translator.

If identification documents are being certified outside of the Commonwealth of Australia, they may only be certified by an Australian consular officer (within the meaning of the *Consular Fees Act 1955*) or an Australian diplomatic officer, or a person authorised as a notary public in a foreign country.